

# RATIONAL HOMOTOPY THEORY AND NONNEGATIVE CURVATURE

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ABSTRACT. In this note , we answer positively a question by Belegradek and Kapovitch[2] about the relation between rational homotopy theory and a problem in Riemannian geometry which asks that total spaces of which vector bundles over compact nonnegative curved manifolds admit (complete) metrics with nonnegative curvature.

## 1. INTRODUCTION

Given a Riemannian manifold  $M$  with metric

$$\langle \rangle : TM \times TM \rightarrow TM$$

an affine connection is a bilinear map

$$\nabla : Vec(M) \times Vec(M) \rightarrow Vec(M)$$

which satisfies the following

- $\nabla_{fV}W = f\nabla_VW$
- $\nabla_V(fW) = (Vf)W + f\nabla_VW$

where  $f \in C^\infty(M)$ ,  $V, W \in Vec(M)$

An affine connection is called Levi-Civita connection if it satisfies also the following

- $X \langle V, W \rangle = \langle \nabla_X V, W \rangle + \langle V, \nabla_X W \rangle$
- $\nabla_V W - \nabla_W V - [V, W] = 0$

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where  $[V, W]f = (XY - YX)f$  is the Lie bracket.

A fundamental result in Riemannian geometry asserts that

**Theorem 1.1.** *For each Riemannian metric, there exists a unique Levi-Civita connection.*

Given a Riemannian manifold  $M$  with Levi-Civita connection, there is defined a curvature operator

$$R : Vec(M) \times Vec(M) \times Vec(M) \rightarrow Vec(M)$$

defined by

$$R(X, Y)Z = \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z$$

From it one arrives at an important geometric invariant which is called *Sectional curvature* defined by

$$K(\sigma) = \frac{\langle R(v, w)w, v \rangle}{\langle v \wedge w, v \wedge w \rangle}$$

where  $\sigma \subset T_p M$  is a tangent plane at  $p \in M$  and  $v, w \in \sigma$  span it. It is well known that  $K(\sigma)$  does not depend on the choice of spanning vectors.

A well known question in Riemannian geometry is

**Question 1.2.** *Does the restriction on curvature imply the restriction on topology and vice versa?*

In particular, how does the positive(nonnegative) curvature restrict the topology of the underlining manifold?

A Riemannian manifold is called positively (or nonnegatively) curved if, for any  $\sigma$ ,  $K(\sigma) > 0$  (or  $K(\sigma) \geq 0$ ).

For compact manifold, we have the following classical

**Theorem 1.3.** *Let  $M$  be a compact Riemannian manifold with positive curvature. Then*

$$\pi_1(M) = \begin{cases} \text{finite group} & \text{if } \dim M \text{ is odd} \\ 0 & \text{if } \dim M \text{ is even and } M \text{ is orientable} \\ Z_2 & \text{if } \dim M \text{ is even and } M \text{ is nonorientable} \end{cases}$$

The main concern of this note is on noncompact manifold. In this case there is the following

**Theorem 1.4.** *Let  $M$  be a complete noncompact Riemannian manifold with nonnegative curvature. Then  $M$  is diffeomorphic to the total space of the normal bundle of a compact totally geodesic submanifold which is called the soul.*

Another central question in Riemannian geometry is to what extent the converse is true, or in other words

**Question 1.5.** *Total spaces of which vector bundles over compact nonnegatively curved manifolds admit (complete) metrics with nonnegative curvature?*

Previously, obstructions to the existence of nonnegatively curved metrics on vector bundles were only known for a flat soul [7]. No obstructions are known when the soul is simply-connected. In [2] an approach to the reduction of the problem to the vector bundle over simply connected manifold was initiated. The start point is another result of Cheeger and Gromoll [4] that a finite cover of any closed nonnegatively curved manifold is diffeomorphic to a product of a torus and a simply-connected closed nonnegatively curved manifold. It turns out that a similar statement holds for open complete nonnegatively curved manifolds which is the basis of their analysis.

**Lemma 1.6.** [2] *Let  $(N, g)$  be a complete nonnegatively curved manifold. Then there exists a finite cover  $N'$  of  $N$  diffeomorphic to a product  $M \times T^k$  where  $M$  is a complete open simply connected nonnegatively curved manifold. Moreover, if  $S'$  is a soul of  $N'$ , then this diffeomorphism can be chosen in such a way that it takes  $S'$  onto  $C \times T^k$  where  $C$  is a soul of  $M$ .*

By using this and characteristic classes technique, they proved that, in various case, the total spaces of rank  $k$  vector bundles over  $C \times T$  admit no nonnegatively curved metric if they do not become the pullback of a bundle over  $C$  in a finite cover. The following is such an example

**Corollary 1.7.** [1] *Let  $B$  be a closed nonnegatively curved manifold. If  $\pi_1(B)$  contains a free abelian subgroup of rank four (two, respectively),*

then for each  $k \geq 2$  (for  $k = 2$ , respectively) there exists a finite cover of  $B$  over which there exist infinitely many rank  $k$  vector bundles whose total spaces admit no nonnegatively curved metrics.

Belegradek and Kapovitch [2] are thus lead to the following

**Definition 1.8.** Given a closed smooth simply connected manifold  $C$ , a torus  $T$ , and a positive integer  $k$ , we say that a triple  $(C, T, k)$  is *splitting rigid* if any rank  $k$  vector bundle over  $C \times T$  with nonnegatively curved total space splits, after passing to a finite cover, as the product of a rank  $k$  bundle over  $C$  and a rank zero bundle over  $T$ .

Let  $\mathcal{H}$  be the class of simply-connected CW-complexes whose rational cohomology algebra is finite dimensional, as a rational vector space, and has no nonzero derivations of negative degree (a homomorphism  $f : A \rightarrow A$  between graded algebras over rational is said to be derivations of negative degree  $k$  if  $f(uv) = f(u)v + (-1)^{kp}uf(v)$  where  $u \in A, v \in A^p$ ), see [2] for the reason to choose such a class  $\mathcal{H}$ . For example,  $\mathcal{H}$  contains any compact simply-connected Kähler manifold [6].

A natural question is

**Question 1.9.** [2] *Let  $C \in \mathcal{H}$  be a closed smooth manifold. Is  $(C, T, k)$  splitting rigid for any  $T$  and  $k$ ?*

The main result in this note is a positive answer to this question

**Theorem 1.10.** *Let  $C \in \mathcal{H}$  be a closed smooth manifold. Then  $(C, T, k)$  is splitting rigid for any  $T$  and  $k$ .*

In this paper, all cohomology groups have rational coefficients, all manifolds and vector bundles are smooth; all topological spaces are homotopy equivalent to connected CW-complexes.  $[X, Y]$  will be the based homotopy classes of based maps between them.  $map(X, Y)$  is the space of maps from  $X$  to  $Y$  and  $map(X, Y)_f$  is the connected component of  $map(X, Y)$  which contains the map  $f : X \rightarrow Y$ .

## 2. A SPLITTING CRITERION

Given a finite cell complex  $C$ , define  $Char(k, C)$  to be the subspace of  $H^*(C)$  which is the direct sum of  $\bigoplus_{i=1}^{[(k-1)/2]} H^{4i}(C)$  and the subspace

equal to  $H^k(C)$  if  $k$  is even, and to  $H^{4[k/2]}(C)$  if  $k$  is odd. Note that any rational characteristic class of a rank  $k$  vector bundle over  $C$  lies in the subalgebra of  $H^*(C)$  generated by  $Char(k, C)$ .

Belegradek and Kapovitch transform the problem of a triple  $(C, T, k)$  being splitting rigid into a homotopy problem as follows

**Proposition 2.1.** [2] *Let  $C$  be a closed simply-connected manifold,  $T$  be a torus,  $k$  be a positive integer. If any self-homotopy equivalence of  $C \times T$  maps  $Char(k, C)$  to itself, then the triple  $(C, T, k)$  is splitting rigid.*

We are thus led to compute the group of homotopy classes of self homotopy equivalences  $Aut(C \times T)$  of  $C \times T$ .

Before we can do this, let's recall a work by Booth and Heath [3]

Given spaces with base point  $(X, x_0)$  and  $(Y, y_0)$ , there is a natural map

$$\varphi : map(X \times Y, X \times Y) \rightarrow map(X, X) \times map(Y, Y)$$

defined by  $\varphi(f) = (g, h)$  where  $g(x) = \pi_X \circ f(x, y_0)$ ,  $h(y) = \pi_Y \circ f(x_0, y)$  and  $\pi_X : X \times X, \pi_Y : X \times Y \rightarrow Y$  are projections to the factors  $X$  and  $Y$  respectively.

**Definition 2.2.** Let  $X$  and  $Y$  be two spaces. We say  $X$  and  $Y$  have the induced equivalence property (IEP) if whenever  $f$  is a homotopy equivalence, then  $g, h$  defined above are homotopy equivalences.

*Remark 2.3.* Let  $X$  and  $Y$  be such that for each  $i > 0$ , at least one of  $\pi_i(X)$  and  $\pi_i(Y)$  is zero. Then they satisfy the IEP by Whitehead theorem.

With the above notion, we can quote the following

**Theorem 2.4.** *Let  $X$  and  $Y$  be two spaces having IEP. Suppose further that  $[X, map(Y, Y)_{id}] = 0$ , then there is a short exact sequence of groups and homomorphisms*

$$1 \rightarrow [Y, map(X, X)_{id}] \xrightarrow{\theta} Aut(X \times Y) \rightarrow Aut(X) \times Aut(Y) \rightarrow 1$$

which splits by a homomorphism  $\sigma : Aut(X) \times Aut(Y) \rightarrow Aut(X \times Y)$  given by  $\sigma(g, h) = g \times h$

Let  $X = C$  and  $Y = T$  where  $C, T$  be as in Theorem1.10. Then  $X$  and  $Y$  have IEP by the remark following the definition2.2. On the other hand,  $[C, \text{map}(T, T)_{id}] = 0$  since it is well known that  $\text{map}(T, T)_{id} = T$  and  $C$  is 1-connected and thus first cohomology of  $C$  is trivial.

Now given  $f \in \text{Aut}(C \times T)$ , to prove that the induced homomorphism in cohomology maps  $\text{Char}(k, C)$  to itself, it suffices to assume that  $f \in \text{Im}([T, \text{map}(C, C)_{id}])$  by the exact sequence above. Recall that the map  $\theta : [T, \text{map}(C, C)_{id}] \rightarrow \text{Aut}(C \times T)$  is given by  $\theta(f)(x, y) = (f(y)(x), y)$ . The above argument gives the following

**Corollary 2.5.** *Let  $C$  be a closed simply-connected manifold,  $T$  be a torus,  $k$  be a positive integer. Then the triple  $(C, T, k)$  is splitting rigid if, for any map  $f : T \rightarrow \text{map}(C, C)_{id}$ , the adjoint  $\tilde{f} : T \times C \rightarrow C$  induces a homomorphism in cohomology given by  $\tilde{f}^*(u) = 1 \otimes u$  for any  $u \in H^*(C)$ .*

### 3. THE PROOF OF THEOREM1.10

*Proof of Theorem1.10.* Let  $T = (S^1)^s$ . By Corollary 2.5, to prove Theorem1.10, it suffices to prove that, for map  $f : T \times C \rightarrow C$  such that  $f(y_0, -)$  homotopic to  $id$ , it induces a homomorphism in cohomology given by  $f^*(u) = 1 \otimes u$ . Given such  $f$ , for any  $u \in H^*(C)$ ,

$$f^*(u) = 1 \otimes u + \sum_k \sum_{i_1 \cdots i_k} \lambda_{i_1 \cdots i_k}(u) \otimes \iota_{i_1} \cdots \iota_{i_k}$$

where the first sum is taken over  $k$  from 1 to  $s$  and the second sum is taken over all  $(i_1 \cdots i_k)$ 's such that  $0 < i_1 < \cdots < i_k < s + 1$ . Thus we get a sequence of maps  $\lambda_{i_1 \cdots i_k} : H^n(C) \rightarrow H^{n-k}(C)$  where  $0 < k < s + 1$  and  $0 < i_1 < \cdots < i_k < s + 1$ .

To prove that  $f^*(u) = 1 \otimes u$ , it suffices to prove that  $\lambda_{i_1 \cdots i_k} = 0$  where  $0 < k < s + 1$  and  $0 < i_1 < \cdots < i_k < s + 1$  while for the proof of later we need to study the behaviour of these maps with respect to the cup product of cohomology.

If  $u, v \in H^*(C)$ , then

$$f^*(uv) = 1 \otimes uv + \sum_k \sum_{i_1 \cdots i_k} \lambda_{i_1 \cdots i_k}(uv) \otimes \iota_{i_1} \cdots \iota_{i_k}$$

On the other hand  $f^*(uv) = f^*(u)f^*(v)$ . Using the formula for  $f^*(uv), f^*(u), f^*(v)$  and comparing the terms associated with  $\iota_{i_1} \cdots \iota_{i_k}$ , we find the following equations

$$\lambda_{i_1 \cdots i_k}(uv) = \lambda_{i_1 \cdots i_k}(u)v + (-1)^{k|v|}u\lambda_{i_1 \cdots i_k}(v) \pm \sum \lambda_{j_1 \cdots j_p}(u)\lambda_{l_1 \cdots l_q}(v) \otimes \iota_{i_1} \cdots \iota_{i_k}$$

where  $p + q = k$  with  $p > 0, q > 0$  and the sum is taken over all partitions of  $i_1, \cdots, i_k$  into  $j_1 < \cdots < j_p$  and  $l_1 < \cdots < l_q$ .

Let  $k = 1$ . Then the above formula implies that  $\lambda_{i_1}$  is a derivation of degree  $-1$  which is trivial by the condition of the Theorem 1.10. The above formula in case  $k = 2$  implies that  $\lambda_{i_1 i_2}$  is a derivation of degree  $-2$  modulo products of derivations of degree  $-1$  which are trivial. It follows that  $\lambda_{i_1 i_2}$  is a derivation of degree  $-2$  and thus is trivial by the condition of the Theorem 1.10. Inductively we can prove that all  $\lambda_{i_1 \cdots i_k}$  are trivial which completes the proof of the Theorem 1.10.

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