

HOMOTOPICAL INTERSECTION THEORY, I.

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ABSTRACT. We give a new approach to intersection theory. Our “cycles” are closed manifolds mapping into compact manifolds and our “intersections” are elements of a homotopy group of a certain Thom space. The results are then applied in various contexts, including fixed point, linking and disjunction problems. Our main theorems resemble those of Hatcher and Quinn [H-Q], but our proofs are fundamentally different.

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1. INTRODUCTION

In this article an intersection theory on manifolds is developed using the techniques of algebraic topology. The “cycles” in our theory will be maps between manifolds, whereas “intersections” will live in

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the homotopy groups of a certain Thom space. In order to give the obstructions a geometric interpretation, one must identify the homotopy of this Thom space with a suitable bordism group. Making this identification requires transversality. Consequently, if one is willing to forgo a geometric interpretation and work exclusively with Thom spaces, transversality can be dispensed with altogether. We emphasize this point for the following reason: although we will not pursue the matter here, our methods straightforwardly extend to give an intersection theory for Poincaré duality spaces, even though the usual transversality results are known to fail in this wider context.

Suppose N is an n -dimensional compact manifold equipped with a closed submanifold

$$Q \subset N$$

of dimension q . Given a map $f: P \rightarrow N$, where P is a closed manifold of dimension p , we ask for necessary and sufficient conditions insuring that f is homotopic to a map g whose image is disjoint from Q . We call these data an *intersection problem*. The situation is depicted by the diagram

$$\begin{array}{ccc} & & N - Q \\ & \nearrow \text{dotted arrow} & \downarrow \\ P & \xrightarrow{f} & N \end{array}$$

where we seek to fill in the dotted arrow by a map making the diagram homotopy commute. Note that transversality implies the above problem always has a solution when $p + q < n$.

When f happens to be an embedding (or immersion), one typically requires a deformation of f through isotopies (resp. regular homotopies). This version of the problem was studied by Hatcher and Quinn [H-Q], who approached it geometrically using the methods of bordism theory (see also the related papers by Dax [Da], Laudenbach [Lau] and Salomonsen [Sa]). Since many of the key proofs in [H-Q] are just sketched, we feel it is useful to give independent homotopy theoretic proofs of their results. Also, some of our steps, such as the *Complement Formula* in Section 5, should be of independent interest.

We now summarize our approach. Let $E \rightarrow P$ be the fibration given by converting the inclusion $N - Q \rightarrow N$ into a fibration and then pulling the latter back along P . Then the desired lift exists if and only if $E \rightarrow P$ admits a section. Step one is to produce an obstruction whose vanishing guarantees the existence of such a section.

In a certain range of dimensions, it turns out that the complete obstruction to finding a section has been known for at least 35 years: it goes by the name of *stable cohomotopy Euler class* (see e.g. Crabb [C, Ch. 2], who attributes the ideas to various people, notably Becker [B1], [B2] and Larmore [Lar]).

The second step is to equate the stable cohomotopy Euler class, a ‘cohomological’ invariant, with a *stable homotopy Euler characteristic*, a ‘homological’ one. This is achieved using a version of Poincaré duality which appeared in [K2]. The characteristic lives in a homotopy group of a certain spectrum.

The third step of the program is to identify the spectrum in step two as a Thom spectrum. The idea here, which we believe is new, is to give an explicit homotopy theoretic model for the complement of the inclusion $Q \subset N$ in a certain stable range. We exhibit this model in Theorem 5.1 (this is the “Complement Formula” alluded to above).

The final step, which is optional, is to relate the Thom spectrum of step three with a twisted bordism theory (cf. the next paragraph). This is a standard application of the Thom transversality theorem. As pointed out above, this step is omitted in the case of an intersection problem involving Poincaré duality spaces. This completes our outline of the program. We now proceed to state our main results in the manifold case. This will require some preparation.

We first make some well-known remarks about the relationship between bordism and Thom spectra. Suppose X is a space equipped with a vector bundle ξ of rank k . Consider triples

$$(M, g, \phi),$$

in which M is a closed smooth manifold of dimension n equipped with map $g: M \rightarrow X$ and ϕ is stable vector bundle isomorphism between the normal bundle of M and the pullback $g^*\xi$. The set of equivalence classes of these under the relation of bordism defines an abelian group

$$\Omega_n(X; \xi),$$

in which addition is given by disjoint union. This is the *bordism group of X with coefficients in ξ in degree n* .

The *Thom space* $T(\xi)$ is the one point compactification of the total space of ξ . If ϵ^j denotes the rank j trivial bundle over X , then there is an evident map

$$\Sigma T(\xi \oplus \epsilon^j) \rightarrow T(\xi \oplus \epsilon^{j+1})$$

which gives the collection $\{T(\xi \oplus \epsilon^j)\}_{j \geq 0}$ the structure of a (pre-)spectrum. Its associated Ω -spectrum is called the *Thom spectrum* of ξ , which we

denote by

$$X^\xi.$$

The Thom-Pontryagin construction defines a homomorphism

$$\Omega_n(X; \xi) \rightarrow \pi_{n+k}(X^\xi)$$

which is an isomorphism by transversality. These remarks apply equally as well in the more general case when ξ is a virtual vector bundle of rank k .

More generally, if ξ is a stable spherical fibration, the Thom-Pontryagin homomorphism is still defined, but can fail to be an isomorphism. The deviation from it being an isomorphism is detected by the surgery theory L -groups of $(\pi_1(X), w_1(\xi))$ (cf. Levitt [Le], Quinn [Q], Jones [J], Hausmann and Vogell [H-V]). In essence, the L -groups detect the failure of transversality.

We now return to our intersection problem. Let $i_Q: Q \subset N$ denote the inclusion. Define

$$E(f, i_Q)$$

to be *homotopy fiber product* of f and i_Q (also known as the *homotopy pullback*). Explicitly, a point of $E(f, i_Q)$ is a triple

$$(x, \lambda, y)$$

in which $x \in P$, $y \in Q$ and $\lambda: [0, 1] \rightarrow N$ is a path such that $\lambda(0) = f(x)$ and $\lambda(1) = y$.

Define a virtual vector bundle ξ over $E(f, i_Q)$ as follows: let

$$j_P: E(f, i_Q) \rightarrow P \quad \text{and} \quad j_Q: E(f, i_Q) \rightarrow Q$$

be the forgetful maps and let

$$j_N: E(f, i_Q) \rightarrow N$$

be the map given by $(p, \lambda, q) \mapsto \lambda(1/2)$. Then ξ is defined as the rank $n - p - q$ virtual bundle

$$(j_N)^* \tau_N - (j_P)^* \tau_P - (j_Q)^* \tau_Q,$$

where, for example, τ_N denotes the tangent bundle of N and $(j_N)^* \tau_N$ is its pullback along j_N .

Theorem A. *Given an intersection problem, there is an obstruction*

$$\chi(f, i_Q) \in \Omega_{p+q-n}(E(f, i_Q); \xi),$$

which vanishes when f is homotopic to a map with image disjoint from Q .

Conversely, if $p+2q+3 \leq 2n$ and $\chi(f, i_Q) = 0$, then the intersection problem has a solution: there is a homotopy from f to a map with image disjoint from Q .

Our second main result identifies the homotopy fibers of the map of mapping spaces

$$\text{map}(P, N - Q) \rightarrow \text{map}(P, N)$$

in a range. Choose a basepoint $f \in \text{map}(P, N)$. Then we have a homotopy fiber sequence

$$\mathcal{F}_f \rightarrow \text{map}(P, N - Q) \rightarrow \text{map}(P, N),$$

where \mathcal{F}_f denotes the homotopy fiber at f .

Theorem B. *Assume $\chi(f, i_Q)$ is trivial. Then there is a $(2n-2q-p-3)$ -connected map*

$$\mathcal{F}_f \rightarrow \Omega^{\infty+1}E(f, i_Q)^\xi,$$

where the target is the loop space of the zeroth space of the Thom spectrum $E(f, i_Q)^\xi$.

Theorems A and B are the main results of this work. In §9-11, we give applications of these results to fixed point theory, embedding theory and linking problems.

Families. Theorem B yields an obstruction to removing intersections in families. Let

$$F: P \times D^j \rightarrow N$$

be a j -parameter family of maps whose restriction to $P \times S^{j-1}$ has disjoint image with Q , and whose restriction to $P \times *$ is denoted by f , where $*$ $\in S^{j-1}$ is the basepoint. Assume $j > 0$.

The adjoint of F determines a based map of pairs

$$(D^j, S^{j-1}) \rightarrow (\text{map}(P, N), \text{map}(P, N - Q))$$

whose associated homotopy class gives rise to an element of $\pi_{j-1}(\mathcal{F}_f)$. By Theorem B, this class is determined by its image in the abelian group

$$\pi_{j-1}(\Omega^{\infty+1}E(f, i_Q)^\xi) \cong \Omega_{j+p+q-n}(E(f, i_Q); \xi)$$

provided $j + p + 2q + 3 \leq 2n$. Denote this element by $\chi^{\text{fam}}(F)$.

Corollary C. *Assume $0 < j \leq 2n - 2q - p - 3$. Then the family $F: P \times D^j \rightarrow N$ is homotopic rel $P \times S^{j-1}$ to a family having disjoint image with Q if and only if $\chi^{\text{fam}}(F)$ is trivial.*

Additional remarks. We will not deal with self intersection problems here as that was in effect handled by the first author in [K3].

Some of the machinery developed below has recently been applied by M. Aouina [A] to identify the homotopy type of the moduli space of thickenings of a finite complex in the metastable range.

We plan two sequels to this paper. One will consider a multirelative version of the theory, in which we develop an obstruction theory for deforming maps off of more than one submanifold. The other sequel will develop tools to study periodic points of dynamical systems.

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Outline. Section 2 sets forth language. Section 3 contains various results about section spaces, and we define the stable cohomotopy Euler class. Most of this material is probably classical. In Section 4 we use a version of Poincaré duality to define the stable homotopy Euler class. Section 5 contains a “Complement Formula” which identifies the homotopy type of the complement of a submanifold in a stable range. Section 6 contains the proof of Theorem A and Section 7 the proof of Theorem B. Section 8 gives an alternative definition of the main invariant which doesn’t require $i_Q: Q \rightarrow N$ to be an embedding. Section 9 describes a generalized linking invariant based on our intersection invariant. Section 10 applies our results to fixed point problems. Sections 11-12 show how our results, in conjunction with a result of Goodwillie and first author, can be used to deduce the intersection theory of Hatcher and Quinn.

2. LANGUAGE

Spaces. All spaces will be compactly generated, and products are to be re-topologized using the compactly generated topology. Mapping spaces are to be given the compactly generated, compact open topology. A *weak equivalence* of spaces denotes a (chain of) weak homotopy equivalence(s).

Some connectivity conventions: the empty space is (-2) -connected. Every nonempty space is (-1) -connected. A nonempty space X is r -connected for $r \geq 0$ if $\pi_j(X, *)$ is trivial for $j \leq r$ for all choices of basepoint $* \in X$. A map $X \rightarrow Y$ of nonempty spaces is (-1) -connected and is 0 -connected if it is surjective on path components. It is r -connected for $r > 0$ if all of its homotopy fibers are $(r - 1)$ -connected.

When speaking of manifolds, we work exclusively in the smooth (C^∞) category. However, all results of the paper hold equally well in the PL and topological categories.

Spaces as classifying spaces. Suppose that Y is a connected based space. The simplicial total singular complex of Y is a based simplicial set. Take its Kan simplicial loop group. Define G_Y to be its geometric realization. Then G_Y is a topological group and there is a functorial weak equivalence

$$Y \simeq BG_Y,$$

where BG_Y is the classifying space of G_Y . To emphasize that G_Y is a group model for the loop space, we usually abuse notation and rename

$$\Omega Y := G_Y.$$

Thus, Y is identified with $B\Omega Y$.

The thick fiber of a map. Let

$$f: X \rightarrow B$$

be a map, where $B = BG$ is the classifying space of a topological group G which is the realization of a simplicial group.

The *thick fiber* of f is the space

$$F := \text{pullback}(EG \rightarrow B \leftarrow X)$$

where $EG \rightarrow B$ is a universal principal G -bundle. Let G act on the product $EG \times X$ by means of its action on the first factor. This action leaves the subspace $F \subset EG \times X$ setwise invariant, so F comes equipped with a G -action.

Furthermore, as EG is contractible, F has the homotopy type of the homotopy fiber of f . Observe that X has the weak homotopy type of the Borel construction $EG \times_G F$ and $f: X \rightarrow B$ is then identified up to homotopy as the fibration $EG \times_G F \rightarrow B$.

Naive equivariant spectra. We will be using a low tech version of equivariant spectra, which are defined over any topological group.

Let G be as above. A (*naive*) G -*spectrum* E consists of based (left) G -spaces E_i for $i \geq 0$, and equivariant based maps $\Sigma E_i \rightarrow E_{i+1}$ (where we let G act trivially on the suspension coordinate of ΣE_i). A *morphism* $E \rightarrow E'$ of G -spectra consists of maps of based spaces $E_i \rightarrow E'_i$ which are compatible with the structure maps. A *weak equivalence* of G -spectra is a map inducing an isomorphism on homotopy groups. E is an Ω -*spectrum* if the adjoint maps $E_i \rightarrow \Omega E_{i+1}$ are weak equivalences. We will for the most part assume that our spectra are Ω -spectra. If E isn't an Ω -spectra, we can functorially approximate it

by one: $E \xrightarrow{\sim} E'$, where E'_i is the homotopy colimit of the diagram of G -spaces $\{\Sigma^k E_{i+k}\}_{k \geq 0}$. We use the notation $\Omega^\infty E$ for E'_0 , and by slight abuse of language, we call it the *zeroth space* of E .

If X is a based G -space, then its *suspension spectrum* $\Sigma^\infty X$ is a G -spectrum with j -th space $Q(S^j \wedge X)$, where $Q = \Omega^\infty \Sigma^\infty$ is the stable homotopy functor.

The *homotopy orbit spectrum*

$$E_{hG}$$

of G acting on E is the spectrum whose j^{th} space is the orbit space of G acting diagonally on the smash product $E_j \wedge EG_+$. The structure maps in this case are evident.

3. THE STABLE COHOMOTOPY EULER CLASS

Suppose $p: E \rightarrow B$ is a Hurewicz fibration over a connected space B . We seek a generalized cohomology theoretic obstruction to finding a section.

The *fiberwise suspension* of E over B is the double mapping cylinder

$$S_B E := B \times 0 \cup E \times [0, 1] \cup B \times 1.$$

This comes equipped with a map $S_B p: S_B E \rightarrow B$ which is also a fibration (cf. [St]). If F_b denotes a fiber of p at $b \in B$, then the fiber of $S_B p$ at b is SF_b , the unreduced suspension of F_b .

Let

$$s_-, s_+ : B \rightarrow S_B E$$

denote the sections given by the inclusions of $B \times 0, B \times 1$ into the double mapping cylinder.

Proposition 3.1. *If $p: E \rightarrow B$ admits a section, then s_- and s_+ are vertically homotopic.*

Conversely, assume $p: E \rightarrow B$ is $(r+1)$ -connected and B homotopically a retract of a cell complex with cells in dimensions $\leq 2r+1$. If s_- and s_+ are vertically homotopic, then p has a section.

Proof. Assume $p: E \rightarrow B$ has a section $s: B \rightarrow E$. Apply the functor S_B to s to get a map

$$S_B s: S_B B \rightarrow S_B E$$

and note $S_B B = B \times [0, 1]$. Then $S_B s$ is a vertical homotopy from s_- to s_+ .

To prove the converse, consider the square

$$\begin{array}{ccc} E & \xrightarrow{p} & B \\ p \downarrow & & \downarrow s_+ \\ B & \xrightarrow{s_-} & S_B E \end{array}$$

which is commutative up to preferred homotopy. The square is homotopy cocartesian. Since the maps out of E are $(r+1)$ -connected, we infer via the Blakers-Massey theorem that the square is $(2r+1)$ -cartesian.

Let \mathcal{P} be the homotopy pullback of s_- and s_+ . Then the map $E \rightarrow \mathcal{P}$ is $(2r+1)$ -connected. Furthermore, a choice of vertical homotopy from s_- to s_+ yields a map $B \rightarrow \mathcal{P}$. Using the dimensional constraints on B , we can find a map $s: B \rightarrow E$ which factorizes $B \rightarrow \mathcal{P}$ up to homotopy. Then ps is homotopic to the identity. The homotopy lifting property then enables us to deform s to an actual section of p . \square

Section spaces. For a fibration $E \rightarrow B$, let

$$\sec(E \rightarrow B)$$

denote its space of sections. Proposition 3.1 gives criteria for deciding when this space is non-empty. Another way to formulate it is to consider $\sec(S_B E \rightarrow B)$ as a space with basepoint s_- . Then the obstruction of 3.1 is given by asking whether the homotopy class

$$[s_+] \in \pi_0(\sec(S_B E \rightarrow B))$$

is that of the basepoint.

Stabilization. As remarked above, s_- equips the section space

$$\sec(S_B E \rightarrow B)$$

with a basepoint, and the fibers SF_b of $S_B E \rightarrow B$ are based spaces (with basepoint given by the south pole).

Let

$$Q_B S_B E \rightarrow B$$

be the effect of applying the stable homotopy functor $Q = \Omega^\infty \Sigma^\infty$ to each fiber SF_b of $S_B E \rightarrow B$.

Lemma 3.2. *Assume $p: E \rightarrow B$ is $(r+1)$ -connected and B homotopically the retract of a cell complex with cells in dimensions $\leq k$. Then the evident map*

$$\sec(S_B E \rightarrow B) \rightarrow \sec(Q_B S_B E \rightarrow B)$$

is $(2r-k+3)$ -connected.

Proof. For each $b \in B$, the space SF_b is $(r+1)$ -connected. The Freudenthal suspension theorem implies that the map $SF_b \rightarrow QSF_b$ is $(2r+3)$ -connected. From this we infer that the map $S_B E \rightarrow Q_B S_B E$ is $(2r+3)$ -connected. The result now follows from elementary obstruction theory. \square

We call $\sec(Q_B S_B E \rightarrow B)$ the *stable section space* of $S_B E \rightarrow B$ and we change its notation to

$$\sec^{\text{st}}(S_B E \rightarrow B).$$

In fact, the stable section space is the zeroth space of a spectrum whose j -th space is the stable section space of a fibration $E_j \rightarrow B$ in which the fiber at $b \in B$ is $Q\Sigma^j SF_b$. In particular, the set of path components of $\sec^{\text{st}}(S_B E \rightarrow B)$ has the structure of an abelian group. In what follows, we regard s_-, s_+ as points of $\sec^{\text{st}}(S_B E \rightarrow B)$.

Definition 3.3. The *stable cohomotopy Euler class* of $p: E \rightarrow B$ is given by

$$e(p) := [s_+] \in \pi_0(\sec^{\text{st}}(S_B E \rightarrow B)).$$

Corollary 3.4. *If p has a section, then $e(p)$ is trivial. Conversely, assume $p: E \rightarrow B$ is $(r+1)$ -connected and B is homotopically the retract of a cell complex with cells in dimensions $\leq 2r+1$. If $e(p) = 0$, then p has a section.*

We will also require an alternative description of the homotopy type of $\sec(E \rightarrow B)$ in a range. For a space X equipped with two points $-, + \in X$, let

$$\Omega^{\pm} X$$

be the space of paths $\lambda: [0, 1] \rightarrow X$ such that $\lambda(0) = -$ and $\lambda(1) = +$. When $X = SY$, and \pm are the poles of SY , we obtain a natural map

$$Y \rightarrow \Omega^{\pm} QSY$$

which maps a point y to the path $[0, 1] \rightarrow QSY$ by $t \mapsto t \wedge y$, where $t \wedge y \in SY$ is considered as a point of QSY in the evident way.

Next, suppose that $E \rightarrow B$ is a fibration. Then the associated fibration

$$Q_B S_B E \rightarrow B$$

has QSF_b as its fiber at $b \in B$. So we have a map

$$F_b \rightarrow \Omega^{\pm} QSF_b.$$

Let

$$\Omega_B^{\pm} Q_B S_B E \rightarrow B$$

be the fibration whose fiber at b is the space $\Omega^\pm QSF_b$. Then the above yields a map of section spaces

$$\sec(E \rightarrow B) \rightarrow \sec(\Omega_B^\pm Q_B S_B E \rightarrow B).$$

Lemma 3.5. *Assume $E \rightarrow B$ is $(r+1)$ -connected and B is homotopically the retract of a cell complex with cells in dimensions $\leq k$. Then the map*

$$\sec(E \rightarrow B) \rightarrow \sec(\Omega_B^\pm Q_B S_B E \rightarrow B)$$

is $(2r+1-k)$ -connected.

Proof. For each $b \in B$, the map of fibers

$$F_b \rightarrow \Omega^\pm QSF_b$$

factors as a composite

$$F_b \rightarrow \Omega^\pm SF_b \rightarrow \Omega^\pm QSF_b.$$

The first map in the composite is $(2r+1)$ -connected (by the Blakers-Massey theorem) and the second map is $(2r+2)$ -connected (by Freudenthal's suspension theorem). Hence, the composed map is $(2r+1)$ -connected. We infer by the five lemma that the map $E \rightarrow \Omega_B^\pm Q_B S_B E$ is also $(2r+1)$ -connected. Taking section spaces then reduces the connectivity by k . \square

Lemma 3.6. *Fix a section s of the fibration $E \rightarrow B$. Then with respect to this choice, there is a preferred weak equivalence*

$$\sec(\Omega_B^\pm Q_B S_B E \rightarrow B) \simeq \Omega \sec(Q_B S_B E \rightarrow B).$$

Proof. The fiber of $(\Omega_B^\pm Q_B S_B E \rightarrow B)$ at $b \in B$ is the space $\Omega^\pm QSF_b$. The hypothesis that $E \rightarrow B$ is equipped with a section shows that F_b is based and therefore $\Omega^\pm QSF_b$ is also based using the map $F_b \rightarrow \Omega^\pm QSF_b$.

A point of $\Omega^\pm QSF_b$ is a path in QSF_b having fixed endpoints. Given another point of $\Omega^\pm QSF_b$, we get another path having the same endpoints. Now form the loop which starts by traversing the first path and returns by means of the second path. So we get a map

$$\Omega^\pm QSF_b \rightarrow \Omega QSF_b$$

which is a weak equivalence (an inverse weak equivalence is given by mapping a loop in QSF_b to the path given by concatenating the base path with the given loop). This weak equivalence then induces a weak equivalence

$$\sec(\Omega_B^\pm Q_B S_B E \rightarrow B) \rightarrow \sec(\Omega_B Q_B S_B E \rightarrow B)$$

where Ω_B is the fiberwise loop space functor. Now use the evident homeomorphism

$$\sec(\Omega_B Q_B S_B E \rightarrow B) \cong \Omega \sec^{\text{st}}(S_B E \rightarrow B)$$

to complete the proof. \square

Assembling the above lemmas, we conclude

Corollary 3.7. *Let $E \rightarrow B$ be a fibration equipped with section. Assume $E \rightarrow B$ is $(r+1)$ -connected and that B is homotopically the retract of a cell complex whose cells have dimension $\leq k$. Then the map*

$$\sec(E \rightarrow B) \rightarrow \Omega \sec^{\text{st}}(S_B E \rightarrow B)$$

is $(2r + 1 - k)$ -connected.

4. THE STABLE HOMOTOPY EULER CHARACTERISTIC

Let B be a connected based space. We identify B with the classifying space of the topological group ΩB described in §2. Let $E \rightarrow B$ be a fibration, and let F be its thick fiber (§2). Take its unreduced suspension SF . Then SF is a based ΩB -space.

Assume now that B is a closed manifold of dimension d . Let τ_B be its tangent bundle, and let $S(\tau_B + \epsilon)$ be the fiberwise one point compactification of τ_B . Define

$$S^{\tau_B}$$

to be its thick fiber. This is a based ΩB -space.

Define

$$S^{-\tau_B}$$

to be the mapping spectrum $\text{map}(S_B^{\tau_B}, S^0)$, i.e., the spectrum whose j^{th} space consists of the stable based maps from S^{τ_B} to S^j . Then S^{τ_B} is an ΩB -spectrum whose underlying nonequivariant homotopy type is that of a $(-d)$ -sphere.

Give the smash product $S^{-\tau_B} \wedge SF$ the diagonal action of ΩB . Let $S^{-\tau_B} \wedge_{h\Omega B} SF$ be its homotopy orbit spectrum.

Theorem 4.1 (“Poincaré Duality”). *There is a preferred weak equivalence of infinite loop spaces*

$$\Omega^\infty(S^{-\tau_B} \wedge_{h\Omega B} SF) \simeq \sec^{\text{st}}(S_B E \rightarrow B).$$

In particular, there is an preferred isomorphism of abelian groups

$$\pi_0(S^{-\tau_B} \wedge_{h\Omega B} SF) \cong \pi_0(\sec^{\text{st}}(S_B E \rightarrow B)).$$

Remark 4.2. A form of this statement which resembles classical Poincaré duality is given by thinking of the right side as “cohomology” with coefficients in the “cosheaf” of spectra \mathcal{E} over B whose stalk at $b \in B$ is the spectrum $\Sigma^\infty(SF_b)$. Then symbolically, the result identifies cohomology with twisted homology:

$$H_\bullet(B; S^{-\tau_B} \otimes \mathcal{E}) \simeq H^\bullet(B; \mathcal{E}),$$

where we interpret the displayed tensor product as fiberwise smash product.

Proof of Theorem 4.1. The theorem is actually a special case of the main results of [K2]. There, for any ΩB -spectrum W , we constructed a weak natural transformation

$$S^{-\tau_B} \wedge_{h\Omega B} W \rightarrow W^{h\Omega B}$$

called the *norm map*, which was subsequently shown to be a weak equivalence for every W (cf. th. D and cor. 5.1 of [K2]). The target of the norm map is the homotopy fixed points of ΩB acting on W . Recall that when W is an Ω -spectrum, $W^{h\Omega B}$ is the spectrum whose j^{th} space is the section space of the fibration $E\Omega B \times_{\Omega B} W_j \rightarrow B$.

Specializing the norm map to the ΩB -spectrum $W = \Sigma^\infty SF$, source of the norm map is identified with $S^{-\tau_B} \wedge_{h\Omega B} SF$ whereas its target is identified with the spectrum whose associated infinite loop space is $\text{sec}^{\text{st}}(S_B E \rightarrow B)$. \square

Definition 4.3. The *stable homotopy Euler characteristic* of $p: E \rightarrow B$ is the class

$$\chi(p) \in \pi_0(S^{-\tau_B} \wedge_{h\Omega B} SF)$$

which corresponds to the stable cohomotopy Euler class $e(p)$ via the isomorphism of Theorem 4.1. That is, $\chi(p)$ is the Poincaré dual of $e(p)$.

Corollary 4.4. *Assume $p: E \rightarrow B$ is $(r+1)$ -connected, B is a closed manifold dimension d and $d \leq 2r+1$. Then p has a section if and only if $\chi(p)$ is trivial.*

5. THE COMPLEMENT FORMULA

Suppose

$$i_Q: Q^q \subset N^n$$

is the inclusion of a closed connected submanifold. We will also assume that N is connected.

Choose a basepoint $* \in Q$. Then N gets a basepoint. Fix once and for all identifications

$$Q \simeq B\Omega Q \quad N \simeq B\Omega N.$$

We also have a homomorphism $\Omega Q \rightarrow \Omega N$, such that application of the classifying space functor yields $i_Q: Q \rightarrow N$ up to homotopy.

Let

$$F := \text{thick fiber}(N - Q \rightarrow N)$$

be the thick fiber of the inclusion $N - Q \rightarrow N$ taken at the basepoint. Then F is a ΩN -space whose unreduced suspension SF is a based ΩN -space. Consequently, the suspension spectrum $\Sigma^\infty SF$ has the structure of an ΩN -spectrum. We will identify the equivariant homotopy type of this spectrum.

Let $\nu_{Q \subset N}$ denote the normal bundle of $i_Q: Q \rightarrow N$. Form the fiberwise one-point compactification of this vector bundle to obtain a sphere bundle over Q equipped with a preferred section at ∞ . Let

$$S^{\nu_{Q \subset N}}$$

denote its thick fiber. This is, up to homotopy, a sphere whose dimension coincides with the rank of $\nu_{Q \subset N}$. Then $S^{\nu_{Q \subset N}}$ comes equipped with an ΩQ -action.

Another way to construct $S^{\nu_{Q \subset N}}$ which emphasizes its dependence only on the homotopy class of i_Q is as follows: let S^{τ_N} be the thick fiber of the fiberwise one point compactification of the tangent bundle of N . This is an ΩN -spectrum, and therefore an ΩQ -spectrum by restricting the action.

Similarly, using the tangent bundle τ_Q of Q , we obtain a based space S^{τ_Q} equipped with an ΩQ -action. Let

$$S^{\tau_N - \tau_Q}$$

be the spectrum whose j^{th} space consists of the stable based maps from S^{τ_Q} to the j -fold reduced suspension of S^{τ_N} . Then $S^{\tau_N - \tau_Q}$ is an ΩQ -spectrum.

Using the stable bundle isomorphism

$$\nu_{Q \subset N} \cong i_Q^* \tau_N - \tau_Q$$

it is elementary to check that the suspension spectrum of $S^{\nu_{Q \subset N}}$ (an ΩQ -spectrum) has the same weak equivariant homotopy type as $S^{\tau_N - \tau_Q}$.

Theorem 5.1 (Complement Formula). *There is a preferred equivariant weak equivalence of ΩN -spectra*

$$\Sigma^\infty SF \simeq S^{\tau_N - \tau_Q} \wedge_{h\Omega Q} (\Omega N)_+,$$

where the right side is the homotopy orbits of ΩQ acting diagonally on the smash product $S^{\tau_N - \tau_Q} \wedge (\Omega N)_+$. Alternatively, it is the effect of inducing $S^{\tau_N - \tau_Q}$ along the homomorphism $\Omega Q \rightarrow \Omega N$ in a homotopy invariant way.

Remark 5.2. This result recovers the homotopy type of $S_N(N - Q)$ as a space over N in the stable range. Namely, the Borel construction applied to $S^{\tau N - \tau Q} \wedge_{h\Omega Q} (\Omega N)_+$ gives a family of spectra over N , and the result says that this family coincides up to homotopy with the fiberwise suspension spectrum of $S_N(N - Q)$ over N .

Proof of 5.1. Let $\nu := \nu_{Q \subset N}$ denote the normal bundle of Q in N . Using a choice of tubular neighborhood, we have a homotopy cocartesian square

$$\begin{array}{ccc} S(\nu) & \longrightarrow & N - Q \\ \downarrow & & \downarrow \\ D(\nu) & \longrightarrow & N. \end{array}$$

There is then a weak equivalence of homotopy colimits

$$(1) \quad \text{hocolim}(D(\nu) \leftarrow S(\nu) \rightarrow N) \xrightarrow{\sim} \text{hocolim}(N \leftarrow N - Q \rightarrow N).$$

Each space appearing in (1) is a space over N . Take the thick fiber over N of each of these spaces to get an equivariant weak equivalence

$$(2) \quad \text{hocolim}(\tilde{D}(\nu) \rightarrow \tilde{S}(\nu) \leftarrow *) \xrightarrow{\sim} \text{hocolim}(* \rightarrow F \leftarrow *) =: SF,$$

where F is the thick fiber of $N - Q \rightarrow N$. The proof will be completed by identifying the domain of (2).

If \tilde{Q} denotes the thick fiber of $i: Q \rightarrow N$, then the domain of (2) is, by definition, the Thom space of the pullback of ν along the map $\tilde{Q} \rightarrow Q$.

We can also identify \tilde{Q} with the homotopy orbits ΩQ acting on ΩN :

$$\tilde{Q} \simeq E\Omega Q \times_{\Omega Q} \Omega N.$$

This space comes equipped with a spherical fibration given by

$$(3) \quad E\Omega Q \times_{\Omega Q} (\Omega N \times S^\nu) \rightarrow E\Omega Q \times_{\Omega Q} (\Omega N \times *) = \tilde{Q}$$

where S^ν denotes the thick fiber of the spherical fibration given by fiberwise one point compactifying ν . The spherical fibration (3) comes equipped with a preferred section (coming from the basepoint of S^ν). It is straightforward to check that this fibration coincides with the fiberwise one point compactification of the pullback of ν to \tilde{Q} .

Consequently, the Thom space of the pullback of ν to \tilde{Q} coincides up to homotopy with the effect collapsing the preferred section of (3) to a point. But the effect of this collapse this yields

$$(\Omega N)_+ \wedge_{h\Omega Q} S^\nu.$$

Hence, what we've exhibited is an ΩN -equivariant weak equivalence of based spaces

$$SF \simeq (\Omega N)_+ \wedge_{h\Omega Q} S^\nu .$$

The proof is completed by taking the suspension spectra of both sides and recalling that $\Sigma^\infty S^\nu$ is $S^{\tau_N - \tau_Q}$. \square

6. PROOF OF THEOREM A

Returning to the situation of the introduction, suppose

$$\begin{array}{ccc} & N - Q & \\ & \nearrow \text{dotted} & \downarrow \\ P & \xrightarrow{f} & N \end{array}$$

is an intersection problem. As already mentioned, the obstructions to lifting f up to homotopy coincide with the obstructions to sectioning the fibration

$$p: E \rightarrow P$$

where E is the homotopy fiber product of $P \rightarrow N \leftarrow N - Q$.

Choose a basepoint for P . Then N gets a basepoint via f . The thick fiber p at the basepoint is identified with the thick fiber of $N - Q \rightarrow N$. Call the thick fiber of the latter F . Then F is an ΩN -space; using the homomorphism $\Omega P \rightarrow \Omega N$, we see that F is also an ΩP -space.

Lemma 6.1. *The map $N - Q \rightarrow N$ is $(n - q - 1)$ -connected.*

Proof. Using the tubular neighborhood theorem, $N - Q \rightarrow N$ is the cobase change up to homotopy of the spherical fibration $S(\nu_{Q \subset N}) \rightarrow Q$ of the normal bundle of Q . The fibers of this fibration are spheres of dimension $n - q - 1$, so the fibration is that much connected. Then $N - Q \rightarrow N$ is also $(n - q - 1)$ -connected because cobase change preserves connectivity. \square

Corollary 6.2. *The map $E \rightarrow P$ is also $(n - q - 1)$ -connected.*

Proof. $E \rightarrow P$ is the base change of the $(n - q - 1)$ -connected map $N - Q \rightarrow N$ converted into a fibration. The result follows from the fact that base change preserves connectivity. \square

We will now apply Corollary 4.4. For this we note that the manifold P is homotopically a cell complex of dimension p . Consequently, if

$$p \leq 2(n - q - 2) + 1 = 2n - 2q - 3 ,$$

4.4 implies that a section exists if and only if the stable homotopy Euler characteristic

$$\chi(p) \in \pi_0(S^{-\tau P} \wedge_{h\Omega P} SF)$$

is trivial.

To complete the proof, we will need to identify the homotopy type of the spectrum

$$(4) \quad S^{-\tau P} \wedge_{h\Omega P} SF.$$

By the Complement Formula 5.1, there is a preferred weak equivalence of ΩN -spectra

$$\Sigma^\infty SF \simeq S^{\tau N - \tau Q} \wedge_{h\Omega Q} (\Omega N)_+.$$

Substituting this identification into (4), we get a weak equivalence of spectra

$$(5) \quad S^{-\tau P} \wedge_{h\Omega P} SF \simeq S^{-\tau P} \wedge_{h\Omega P} S^{\tau N - \tau Q} \wedge_{h\Omega Q} (\Omega N)_+.$$

To identify the right side of (5) as a Thom spectrum, rewrite it again as

$$S^{\tau N - \tau P - \tau Q} \wedge_{h(\Omega P \times \Omega Q)} (\Omega N)_+.$$

Here, the action of $\Omega P \times \Omega Q$ on

$$S^{\tau N - \tau P - \tau Q} = S^{\tau N} \wedge S^{-\tau P} \wedge S^{-\tau Q}$$

is given by having ΩP act trivially on $S^{-\tau Q}$, having ΩQ act trivially on $S^{-\tau P}$, and having ΩP and ΩQ act on $S^{\tau N}$ by restriction of the ΩN action.

Clearly, this is the Thom spectrum associated to the (stable) spherical fibration

$$(S^{\tau N - \tau P - \tau Q} \times E\Omega(P \times Q)) \times_{\Omega P \times \Omega Q} \Omega N \rightarrow E\Omega(P \times Q) \times_{\Omega(P \times Q)} \Omega N.$$

It is straightforward to check that the base space of this fibration is weak equivalent to the homotopy fiber product $E(f, i_Q)$ described in the introduction.

Hence, the right side of (5) is just a Thom spectrum of the virtual bundle ξ over $E(f, i_Q)$ (where ξ is defined as in the introduction). Therefore, we get an equivalence of Thom spectra,

$$S^{-\tau P} \wedge_{h\Omega P} SF \simeq E(f, i_Q)^\xi.$$

Consequently, the stable homotopy Euler characteristic becomes identified with an element of the group

$$\pi_0(E(f, i_Q)^\xi)$$

which, by transversality, coincides with the bordism group

$$\Omega_{p+q-n}(E(f, i_Q); \xi).$$

Therefore, $\chi(p)$ can be regarded as an element of this bordism group. The proof of Theorem A is then completed by applying 4.4.

7. PROOF OF THEOREM B

Recall the weak equivalence

$$\mathcal{F}_f \simeq \sec(E \rightarrow P),$$

where \mathcal{F}_f is the homotopy fiber of

$$\text{map}(P, N - Q) \rightarrow \text{map}(P, N)$$

at $f: P \rightarrow N$, and

$$E \rightarrow P$$

is the fibration in which E is the homotopy pullback of

$$P \xrightarrow{f} N \xleftarrow{\supset} N - Q.$$

Using 6.2, we have that $E \rightarrow P$ is $(n-q-1)$ -connected. So by Corollary 3.7, there is a $(2n - 2q - p - 3)$ -connected map

$$\mathcal{F}_f \simeq \sec(E \rightarrow P) \rightarrow \Omega \sec^{\text{st}}(S_P E \rightarrow P).$$

By 4.1 and the Complement Formula 5.1, there is a weak equivalence

$$\sec^{\text{st}}(S_P E \rightarrow P) \simeq \Omega^\infty E(f, i_Q)^\xi$$

where the right side is the Thom spectrum of associated with the bundle ξ appearing in the introduction. Looping this last map, and using the previous identifications, we obtain a $(2n - 2q - p - 3)$ -connected map

$$\mathcal{F}_f \rightarrow \Omega^{\infty+1} E(f, i_Q)^\xi.$$

This completes the proof of Theorem B.

8. A SYMMETRIC DESCRIPTION

It is clear from its construction that the stable homotopy Euler characteristic depends only upon the homotopy class of $f: P \rightarrow N$ and the isotopy class of $i_Q: Q \rightarrow N$. Using a different description of the invariant, we will explain why it is an invariant of the *homotopy class* of i_Q .

The new description is more general in that it is defined not just for inclusions $i_Q: Q \rightarrow N$ but for any map, and it is symmetric in P and Q .

Given maps $f: P \rightarrow N$ and $g: Q \rightarrow N$, consider the intersection problem

$$\begin{array}{ccc} & & N \times N - \Delta \\ & \nearrow & \downarrow \\ P \times Q & \xrightarrow{f \times g} & N \times N \end{array}$$

which asks to find a deformation of $f \times g$ to a map missing the diagonal Δ of $N \times N$. Then we have a stable homotopy Euler characteristic

$$\chi(f \times g, i_\Delta) \in \Omega_{p+q-n}(E(f \times g, i_\Delta); \xi')$$

for a suitable virtual bundle ξ' defined as in the introduction. A straightforward chasing of definitions shows there to be a homeomorphism of spaces

$$E(f \times g, i_\Delta) \cong E(f, g).$$

Furthermore, if ξ is the virtual bundle on $E(f, g)$ defined as in the introduction, it is clear that ξ' and ξ are equated via this homeomorphism. The upshot of these remarks is that we can think of $\chi(f \times g, i_\Delta)$ as an element of the bordism group

$$\Omega_{p+q-n}(E(f, g); \xi).$$

When $g = i_Q$ is an embedding, this is the same place where the our originally defined invariant $\chi(f, i_Q)$ lives.

Theorem 8.1. *In fact, the invariants $\chi(f \times i_Q, i_\Delta)$ and $\chi(f, i_Q)$ are equal.*

Remark 8.2. Theorem 8.1 immediately shows that $\chi(f, i_Q)$ depends only on the homotopy classes of f and i_Q . It also gives extends our intersection invariant to the case when i_Q isn't an embedding.

Proof of 8.1. (Sketch). The way to compare the invariants is to consider the commutative diagram

$$\begin{array}{ccc} & N - Q & \longrightarrow \text{map}(Q, N^{\times 2} - \Delta) \\ & \nearrow & \downarrow \\ P & \xrightarrow{f} N & \longrightarrow \text{map}(Q, N^{\times 2}) \\ & \searrow & \downarrow \end{array}$$

where the vertical maps are inclusions and the horizontal maps are described by mapping a point x to the map $y \mapsto (x, y)$. The obstruction associated with short dotted arrow is $\chi(f, i_Q)$, whereas the one associated with the long one is $\chi(f \times i_Q, i_\Delta)$. The result now follows from naturality. \square

9. LINKING

Let P, Q and N be compact manifolds where P and Q are closed.

$$\mathcal{D} \subset \text{map}(P, N) \times \text{map}(Q, N)$$

denote the *discriminant locus* consisting of those pairs of maps

$$(f, g)$$

such that $f(P) \cap g(Q)$ is non-empty. Then

$$\text{map}(P, N) \times \text{map}(Q, N) - \mathcal{D}$$

is the space of pairs (f, g) such that f and g have disjoint images.

Consider the commutative diagram

$$\begin{array}{ccc} \text{map}(P, N) \times \text{map}(Q, N) - \mathcal{D} & \longrightarrow & \text{map}(P \times Q, N^{\times 2} - \Delta) \\ \downarrow & & \downarrow \\ \text{map}(P, N) \times \text{map}(Q, N) & \longrightarrow & \text{map}(P \times Q, N^{\times 2}), \end{array}$$

where the horizontal maps are defined by $(f, g) \mapsto f \times g$, and the vertical ones are inclusions.

Fix a basepoint $(f, g) \in \text{map}(P, N) \times \text{map}(Q, N)$, and define

$$F_{f,g} := \text{hofiber}(\text{map}(P, N) \times \text{map}(Q, N) - \mathcal{D} \rightarrow \text{map}(P, N) \times \text{map}(Q, N)),$$

where the homotopy fiber is taken at the basepoint. Likewise, let

$$L_{f,g} := \text{hofiber}(\text{map}(P \times Q, (N \times N) - \Delta) \rightarrow \text{map}(P \times Q, N \times N))$$

where the homotopy fiber is taken at the basepoint $f \times g$. Then we have a map of homotopy fibers

$$F_{f,g} \rightarrow L_{f,g}.$$

According to Theorem B and the remarks of the previous section, there is a $(2n - p - q - 3)$ -connected map

$$L_{f,g} \rightarrow \Omega^{\infty+1} E(f, g)^\xi$$

where $E(f, g)$ is the homotopy fiber product of the maps f and g and ξ is the virtual bundle or rank $n - p - q$ described as in the introduction.

Definition 9.1. The composite map

$$\mathfrak{L}_{f,g}: F_{f,g} \rightarrow L_{f,g} \rightarrow \Omega^{\infty+1} E(f, g)^\xi$$

is called the *generalized linking map* of the pair (f, g) .

The induced map on path components

$$\pi_0(\mathfrak{L}_{f,g}): \pi_0(F_{f,g}) \rightarrow \Omega_{p+q+1-n}(E(f, g); \xi)$$

are called the *generalized linking function* of f and g .

Example 9.2. Take $N = D^n$, $P = S^p$ and $Q = S^q$. Take f and g to be the constant maps. Then $\pi_0(F_{f,g})$ is identified with the set of link homotopy classes of *link maps*

$$F: S^p \amalg S^q \rightarrow \mathbb{R}^n,$$

i.e., maps such that $F(S^p) \cap F(S^q) = \emptyset$. This set is often denoted by

$$L_{p,q}^n.$$

The space $E(f, g)$ is identified with $S^p \times S^q$, and the virtual bundle ξ is trivial. If we additionally assume that $p, q \leq n - 2$, then the bordism group $\Omega_{p+q+1-n}(E(f, g); \xi)$ is isomorphic to the stable homotopy group

$$\pi_{p+q+1-n}^{\text{st}}(S^0).$$

With respect to these identifications, the generalized linking function takes the form

$$\pi_0(\mathfrak{L}_{f,g}): L_{p,q}^n \rightarrow \pi_{p+q+1-n}^{\text{st}}(S^0),$$

and is just Massey and Rolfsen's " α -invariant" (see [M-R] and [Ko]). When $p + q + 1 = n$, the invariant is an integer and is just the classical Hopf linking number.

Remark 9.3. When $p + q + 1 = n$, the generalized linking function is closely related to the affine linking invariants defined in a recent paper of Chernov and Rudyak [C-R]. The difference between our invariants and theirs has to do with indeterminacy.

Namely, a point in $F_{f,g}$ consists of a pair of maps $f_1: P \rightarrow N$ and $g_1: Q \rightarrow N$ having disjoint images, together with a choice of homotopies from f_1 to f and g_1 to g . The choice of homotopies allows us to get an invariant living in the full bordism group.

By contrast, the Chernov and Rudyak do not choose the homotopies, but this comes at the cost of obtaining an invariant landing in a certain *quotient* of the bordism group. The subgroup which one quotients out by measures the indeterminacy arising from the choice the homotopies.

10. FIXED POINT THEORY

Suppose we are given a closed manifold M of dimension m and a self map $f: M \rightarrow M$. Then the *graph* of f is the map

$$G_f = (\text{id}, f): M \rightarrow M \times M.$$

Then G_f is homotopic to a map missing the diagonal $\Delta \subset M \times M$, if and only if f is homotopic to a fixed-point free map.

Actually, quite a bit more is true. Let

$$\text{map}^b(M, M) \subset \text{map}(M, M)$$

be the subspace of fixed point free self maps of M . Then we have a commutative square

$$(6) \quad \begin{array}{ccc} \text{map}^b(M, M) & \longrightarrow & \text{map}(M, M) \\ \downarrow & & \downarrow \\ \text{map}(M, M^{\times 2} - \Delta) & \longrightarrow & \text{map}(M, M^{\times 2}) \end{array}$$

whose horizontal arrows are inclusions and whose vertical ones are given by taking the graph of a self map. Since the first factor projection $M^{\times 2} - \Delta \rightarrow M$ is a fibration, the induced map of mapping spaces

$$\text{map}(M, M^{\times 2} - \Delta) \rightarrow \text{map}(M, M)$$

is also a fibration whose fiber at the identity is $\text{map}^b(M, M)$. Similarly, the first factor projection $\text{map } M^{\times 2} \rightarrow M$ gives a fibration

$$\text{map}(M, M^{\times 2}) \rightarrow \text{map}(M, M)$$

whose fiber at the identity is $\text{map}(M, M)$. Consequently, the square (6) is homotopy cartesian.

We infer that the homotopy fiber of the map

$$\text{map}^b(M, M) \rightarrow \text{map}(M, M)$$

taken at f coincides with the homotopy fiber of the map

$$\text{map}(M, M^{\times 2} - \Delta) \rightarrow \text{map}(M, M^{\times 2})$$

taken at G_f .

Therefore, solutions of the intersection problem

$$\begin{array}{ccc} & M^{\times 2} - \Delta & \\ & \nearrow & \downarrow \\ M & \xrightarrow{G_f} & M^{\times 2} \end{array}$$

correspond to deformations of f to a fixed point free map. Furthermore, the relevant virtual bundle ξ in this case is trivial of rank 0.

Hence, applying Theorems A and B, we immediately obtain

Theorem 10.1. (1). *Let $f: M \rightarrow M$ be a self map of a closed manifold M . Then is a well-defined class*

$$\ell(f) := \chi(G_f, \Delta) \in \Omega_0^{\text{fr}}(L_f M),$$

where $L_f M$ denotes the space of paths $\gamma: [0, 1] \rightarrow M$ such that $\gamma(1) = f(\gamma(0))$, and $\Omega_0^{\text{fr}}(L_f M)$ is its framed bordism group in degree zero. When f is homotopic to a fixed-point free map, then $\ell(f)$ is trivial.

Conversely, if $m \geq 3$, and $\ell(f)$ is trivial, then f is homotopic to a fixed-point free map.

(2). Assume $\ell(f)$ is trivial. Let \mathcal{F}_f be denote the homotopy fiber of the map

$$\text{map}^b(M, M) \hookrightarrow \text{map}(M, M),$$

taken at f . Then there is an $(m-3)$ -connected map

$$\mathcal{F}_f \rightarrow \Omega Q(L_f M)_+.$$

where the target is the loop space of the stable homotopy functor applied to $L_f M$ with the union of a disjoint basepoint.

Remarks 10.2. (1). The map $L_f M \rightarrow \text{pt}$ induces a homomorphism

$$\Omega_0^{\text{fr}}(L_f M) \rightarrow \Omega_0^{\text{fr}}(\text{pt}) \cong \mathbb{Z}.$$

It is possible to show that image of $\ell(f)$ under this homomorphism is just the classical *Lefschetz trace* of f .

In fact, the group $\Omega_0^{\text{fr}}(L_f M)$ is isomorphic to the coinvariants of $\pi = \pi_1(M, *)$ acting on its integral group ring by means of *twisted conjugation*: $(g, x) \mapsto gx\phi(g)^{-1}$, for $g, x \in \pi$ where $\phi: \pi \rightarrow \pi$ is the homomorphism induced by f and a choice of path from $*$ to $f(*)$.

Using our Index Theorem (12.1), $\ell(f)$ can be identified with the *Reidemeister trace* of f [R]. With respect to this identification, the first part of 10.1 is essentially equivalent to the homotopy converse to the Lefschetz fixed point theorem. (cf. [We], [Br1], [Br2, Cor. 3])

(2). The self map f describes an action of the natural numbers \mathbb{N} on M . The space $L_f M$ is just the homotopy fixed point set $M^{h\mathbb{N}}$ of this action, and the bordism class of the theorem is the one associated with the evident inclusion

$$M^{\mathbb{N}} \subset M^{h\mathbb{N}}$$

from fixed points to homotopy fixed points.

(3). The space $Q(L_f M)_+$ has an alternative description as the *topological Hochschild homology* of the associative ring (spectrum)

$$S^0[\Omega M]$$

(= the suspension spectrum of $(\Omega M)_+$) with coefficients in the bimodule given by $S^0[\Omega M]$, where the action is defined by twisted conjugation of loops.

Families over a disk.

$$F: M \times D^j \rightarrow M$$

be a j -parameter family of self maps of M such that the restriction of F to $M \times S^{j-1}$ is fixed point free. Let $f: M \rightarrow M$ be the self map associated with the basepoint of S^{j-1} .

Then F defines a map of pairs

$$(D^j, S^{j-1}) \rightarrow (\text{map}(M, M), \text{map}^b(M, M))$$

which by 10.1 yields an invariant

$$\ell^{\text{fam}}(F) \in \Omega_j^{\text{fr}}(L_f M).$$

Corollary 10.3. *Assume $j \geq 1$. If $F: M \times D^j \rightarrow M$ is homotopic rel $M \times S^{j-1}$ to a fixed point free family, the $\ell^{\text{fam}}(F) = 0$.*

If $j \leq m - 3$ and $\ell^{\text{fam}}(F) = 0$, then the converse also holds.

Remark 10.4. Using different methods, an invariant of this type was discovered by Geoghegan and Nicas (see [G-N]), who have intensively studied the $j = 1$ case.

General families. The previous situation can be generalized as follows: suppose that $p: E \rightarrow B$ is a smooth fiber bundle over a connected space B having closed manifold fibers. Given a fiberwise self map $f: E \rightarrow E$, one asks whether f is fiberwise homotopic to a map having no fixed points (the case when B is disk coincides with the previous situation). This type of fixed point problem was studied by Dold [Do].

For $b \in B$, let $f_b: E_b \rightarrow E_b$ be the induced self map of the fiber at b . Then we have a fibration

$$q: \mathcal{E}_f \rightarrow B$$

whose fiber at b is $Q(L_{f_b} E_b)_+$. Note that the space of sections of q is an infinite loop space. So if we let

$$\Omega_0^{B, \text{fr}}(\mathcal{E}_f)$$

denote the set of homotopy classes of sections of q , we see that the latter has the structure of an abelian group.

Theorem 10.5. *There is a well-defined element*

$$\ell_B(f) \in \Omega_0^{B, \text{fr}}(\mathcal{E}_f),$$

which vanishes when f is fiberwise homotopic to a fixed point free self map.

Conversely, assume the fibers of p have dimension m , and B is homotopically the retract of a cell complex with cells of dimension \leq

$m - 3$. Then $\ell_B(f) = 0$ implies that f is fiberwise homotopic to a fixed point free self map.

A version of this theorem was proved by V. Coufal [Co], relying on the fibered theory of Hatcher and Quinn [H-Q, th. 4.2].

We will not give the proof of 10.5 here because a substantial revision of our methods would be needed. Rather, we will be content to explain the main issue. The situation at hand is represented by a family of intersection problems parametrized by points of some parameter space B . Hence, 10.5 would follow from a suitable generalization of Theorem B.

The required generalization is this: we are given a parameter space B and a (continuous) family of intersection problems

$$\begin{array}{ccc}
 & & N_b - Q_b \\
 & \nearrow & \downarrow \\
 P_b & \xrightarrow{f_b} & N_b
 \end{array}$$

parametrized by $b \in B$. The approach of this paper is to *choose a basepoint* in each P_b . Once a continuously varying family of basepoints is chosen, the invariant can be defined, and furthermore, the parametrized intersection problem can be attacked using the machinery which proves Theorem B. The problem with this approach is: *a continuously varying family of basepoints might not exist*.

The reason we needed to choose basepoints is that we converted a *fiberwise* problem—finding a section of some fibration—into an *equivariant* one, in which the group is a loop space of the base space of the fibration (the conversion was made by taking a thick fiber). The basepoint was needed to form the loop space.

Hence, to avoid basepoint issues, we should not have passed to the equivariant category at all. That is, we should have developed all the technology in the fiberwise setting. A review of our constructions shows that the crux of the matter is to reformulate Theorem 4.1. Recall that this result relies heavily on the main results of [K2], and the latter paper is written in the equivariant context. Fortunately, the results of that paper can indeed be redone in the fiberwise setting (see e.g., [Hu]). Rather than deluge the reader with technical details, we will leave it to him/her to transform this discussion into a proof of 10.5.

Remark 10.6. Considering the map $E \rightarrow B$ as a map of spaces over B , we get an induced homomorphism

$$\Omega_0^{B,\text{fr}}(\mathcal{E}_f) \rightarrow \Omega_0^{B,\text{fr}}(\text{pt}) \cong \pi_{\text{st}}^0(B_+)$$

where the target is the stable cohomotopy of B in degree zero.

Let $\text{map}_B(E, E)$ denote the space of fibered self maps of E . Then 10.5, followed by above, yields a function

$$I: \pi_0(\text{map}_B(E, E)) \rightarrow \pi_{\text{st}}^0(B_+),$$

which presumably coincides with Dold's fixed point index [Do] (compare [C-J]).

11. DISJUNCTION

A *disjunction problem* is an intersection problem

$$\begin{array}{ccc} & & N - Q \\ & \nearrow & \downarrow \\ P & \xrightarrow{f} & N \end{array}$$

in which $f: P \rightarrow N$ is a smooth embedding. In this instance we ask for an isotopy of f to another embedding g such that the image of g is disjoint from Q . Let

$$\text{emb}(P, N)$$

be the space of embeddings of P in N .

The following is a special instance of the main results of [G-K]. Its proof involves a mixture of homotopy theory, surgery, and Morlet's disjunction lemma ([M], [B-L-R]):

Theorem 11.1 (Goodwillie-Klein [G-K]). *Assume $p, q \leq n - 3$. Then the commutative square*

$$\begin{array}{ccc} \text{emb}(P, N - Q) & \longrightarrow & \text{emb}(P, N) \\ \downarrow & & \downarrow \\ \text{map}(P, N - Q) & \longrightarrow & \text{map}(P, N) \end{array}$$

is $(n - 2p - q - 3)$ -cartesian.

(A square is j -cartesian if the map from its initial term to the homotopy pullback of the other terms is j -connected.)

Remark 11.2. Hatcher and Quinn state a version of 11.1 (cf. [H-Q, th. 4.1]), but give a proof which is only a few lines long, and is therefore too scant to be regarded as complete. Additionally, we expected to find a parametrized "concordance implies isotopy" problem in their argument, but nothing of the sort is mentioned.

Corollary 11.3 (Hatcher-Quinn [H-Q, th. 1.1]). *Assume $2p+q+3 \leq n$. Then an embedding $f: P \rightarrow N$ has a isotopy to an embedding with image disjoint from Q if and only if there is a homotopy of f to a map having image disjoint from Q .*

Corollary 11.4 (compare [H-Q, th. 2.2]). *Assume $p+2q, 2p+q \leq n-3$. Then f is isotopic to an embedding with image disjoint from Q if and only if $\chi(f, i_Q) = 0$.*

Let \mathcal{E}_f be the homotopy fiber at f of

$$\text{emb}(P, N - Q) \rightarrow \text{emb}(P, N).$$

Then 11.1 gives an $(n - 2p - q - 3)$ -connected map

$$\mathcal{E}_f \rightarrow \mathcal{F}_f.$$

Compose this with the map of Theorem B to get a map

$$\mathcal{E}_f \rightarrow \Omega^{\infty+1}E(f, i_Q)^\xi.$$

Corollary 11.5. *The map $\mathcal{E}_f \rightarrow \Omega^{\infty+1}E(f, i_Q)^\xi$ is*

$$\min(2n - 2q - p - 3, 2n - 2p - q - 3)\text{-connected.}$$

12. AN INDEX THEOREM

The Hatcher-Quinn invariant. Given an intersection problem

$$\begin{array}{ccc} & N - Q & \\ & \nearrow & \downarrow \\ P & \xrightarrow{f} & N, \end{array}$$

we will assume f is transverse to $i_Q: Q \subset N$. Then the intersection manifold

$$D := f^{-1}(Q)$$

has dimension $p+q-n$ and comes equipped with a map $D \rightarrow E(f, i_Q)$ given by $x \mapsto (x, c_{f(x)}, f(x))$, where $c_{f(x)}$ is the constant path. The pullback of ξ along this map coincides with the stable normal bundle of D . The bordism class of these data in $\Omega_{p+q-n}(E(f, i_Q); \xi)$ is called the *Hatcher-Quinn invariant*, and is denoted

$$[f \pitchfork i_Q].$$

Recall that $E \rightarrow P$ is the fibration given by taking the homotopy pullback of the inclusion $N - Q \rightarrow N$ along the map $f: P \rightarrow N$. It has a stable cohomotopy Euler class $e(p) \in \pi_0(\text{sec}^{\text{st}}(S_P E \rightarrow P))$.

Theorem 12.1 (“Index Theorem”). *There is a bijection*

$$\mathfrak{p}\mathfrak{d}: \pi_0(\sec^{\text{st}}(S_P E \rightarrow P)) \xrightarrow{\cong} \Omega_{p+q-n}(E(f, i_Q); \xi)$$

such that

$$\mathfrak{p}\mathfrak{d}(e(p)) = [f \pitchfork i_Q].$$

Proof. Consider the fiberwise suspended fibration $S_P E \rightarrow P$. It is identified with the homotopy pullback of

$$S_N(N - Q) \rightarrow N$$

along $f: P \rightarrow N$. Consequently, specifying a section of $S_P E \rightarrow P$ is equivalent to choosing a map $s: P \rightarrow S_N(N - Q)$ and also a homotopy from the composite

$$P \xrightarrow{s} S_N(N - Q) \rightarrow N$$

to f .

Let ν be the normal bundle of Q in N , and identify its unit disk bundle $D(\nu)$ with a tubular neighborhood of Q . Let C denote the closure of the complement of this neighborhood. Then we have a homeomorphism

$$N \cup_{S(\nu)} D(\nu) \cong N \cup_C N.$$

Furthermore, $N \cup_C N$ is identified with $S_N(N - Q)$ up to weak equivalence.

Let $\pi: N \cup_{S(\nu)} D(\nu) \rightarrow N$ be the evident map. Then up to homotopy, choosing a section of $S_P E \rightarrow P$ is the same thing as specifying a map

$$\sigma: P \rightarrow N \cup_{S(\nu)} D(\nu)$$

and a homotopy from $\pi\sigma$ to f .

Let $z_0: Q \rightarrow D(\nu)$ denote the zero section. If σ is transverse to z_0 then

$$\mathcal{D} := P \cap \sigma^{-1}(z_0(Q))$$

is a submanifold of P of dimension $p + q - n$ which comes equipped with a map $\mathcal{D} \rightarrow E(f, i_Q)$. The normal bundle of \mathcal{D} in P is isomorphic to the pullback of ν . Therefore the stable normal bundle of \mathcal{D} is a Whitney sum of the pullbacks of ν and the stable normal bundle of P . This implies that the stable normal bundle of \mathcal{D} is given by taking the pullback of ξ . Consequently, these data determine an element of $\Omega_{p+q-n}(E(f, i_Q); \xi)$.

If σ isn't transverse to z_0 , we can still make it transverse without altering its vertical homotopy class. Therefore, we get a well-defined function

$$\pi_0(\sec(S_P E \rightarrow P)) \rightarrow \Omega_{p+q-n}(E(f, i_Q); \xi).$$

By definition, the vertical homotopy class of the section s_+ maps to $[f \pitchfork i_Q]$.

If we are now given a stable section of $S_P E \rightarrow P$, the above construction is now applied to an associated map

$$\sigma: P \times D^j \rightarrow N \cup_{S(\nu \oplus \epsilon^j)} D(\nu \oplus \epsilon^j)$$

for sufficiently large j . This produces a submanifold $\mathcal{D} \subset P \times D^j$ together with a map $\mathcal{D} \rightarrow E(f, i_Q)$ compatible with the bundle data. So we get a function

$$\mathfrak{pd}: \pi_0(\text{sec}^{\text{st}}(S_P E \rightarrow P)) \rightarrow \Omega_{p+q-n}(E(f, i_Q); \xi).$$

which maps $[s_+]$ to $[f \pitchfork i_Q]$.

We now show that \mathfrak{pd} is onto. Let (V, g, ϕ) represent an element of $\Omega_{p+q-n}(E(f, i_Q); \xi)$. Assume first that the composite

$$V \xrightarrow{g} E(f, i_Q) \rightarrow P$$

is an embedding. Notice that ϕ provides a stable isomorphism of the normal bundle $\nu_{V \subset P}$ of this embedding with the pullback of normal bundle ν of $Q \subset N$ along f . For the moment, we also assume that ϕ arises from an unstable isomorphism of these bundles. By choosing a tubular neighborhood, we get an embedding $D(\nu_{V \subset P}) \subset P$. Let C denote the closure of the complement of this neighborhood. Then we have a decomposition

$$P = C \cup D(\nu_{V \subset P}),$$

so we get a map

$$C \cup D(\nu_{V \subset P}) \xrightarrow{f \cup \phi} N \cup D(\nu).$$

By the remarks at the beginning of the proof, we see that this determines a homotopy class of section of $S_P E \rightarrow P$.

In the general case, we choose an embedding of V in D^j . Then V is embedded in the product $P \times D^j$, and if j is sufficiently large the normal bundle of this embedding is identified with the pullback of the $\nu \oplus \epsilon^j$ using ϕ . The construction above now gives a homotopy class of stable section of $S_P E \rightarrow P$. It is straightforward to check that \mathfrak{pd} applied to this homotopy class recovers the original bordism class. Consequently, \mathfrak{pd} is onto.

The proof that \mathfrak{pd} is one-to-one is similar. In this case however, one starts with a bordism whose boundary arises from two stable sections of $S_P E \rightarrow P$. Then, working relatively, one constructs a homotopy between these stable sections. We leave these details to the reader. This completes the proof of Theorem 12.1. \square

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