

## UPPER BOUNDS ON HIGHER LIMITS

(BOB OLIVER)

**Theorem 1.** Fix  $V \cong (\mathbb{Z}/2)^r$ ,  $r \geq 2$ . Let  $X$  be a finite  $V$ -complex of dimension  $n$ . Let  $F_X^* : \mathcal{A}_2(V) \rightarrow \mathcal{A}b$  be the functor  $F_X^*(E) = H_V^*(X^E)$ . Then  $\lim_{\leftarrow \mathcal{A}_2(V)} (F_X^j)$  vanishes if  $i \geq r$ , or if  $i = r - 1$  or  $r - 2$  and  $j > (2^r - 1)n - r$ , or if  $1 \leq i \leq r - 3$  and  $j > (2^r - 1)n - r + 1$ .

The proof of Theorem 1 will use Lemma 2 and Proposition 4 below.

**Lemma 2.** Let  $V$  be an elementary abelian 2-group, and let  $X \supseteq Y$  be a pair of  $V$ -complexes such that  $X^V = Y^V$ . Let  $x_1, \dots, x_s \in V^* \setminus 0$  be any set of elements such that every isotropy subgroup of  $X \setminus Y$  is contained in  $\text{Ker}(x_i)$  for some  $i$ . Set  $n = \dim(X)$ . Then for every  $\alpha \in H_V^*(Y)$ ,  $x_1^n \cdots x_s^n \alpha \in \text{Im}[H_V^*(X) \rightarrow H_V^*(Y)]$ .

*Proof.* For each  $i = 0, \dots, n$ , set  $X_i = X^{(i)} \cup Y$ . Then  $H_V^*(X_0)$  surjects onto  $H_V^*(Y)$  (projection onto a direct summand). And for all  $i \geq 0$ ,  $x_1 \cdots x_r \cdot H_V^*(X_{i+1}, X_i) = 0$ .  $\square$

The proof of Theorem 1 will be reduced to putting upper bounds on the degrees of quotients of the following form. The following notation will be useful: for any  $V$  and any subset  $D \subseteq V^* \setminus 0$ , set  $\pi_D = \prod_{x \in D} x \in \mathbb{F}_2[V^*]$ .

**Proposition 3.** Fix  $V \cong (\mathbb{Z}/2)^k$  ( $k \geq 2$ ). Assume, for some fixed  $N \geq 1$ , ideals  $I_x \subseteq \mathbb{F}_2[(V/x)^*]$  are given, for all  $x \in V \setminus 0$ , such that

- (1)  $(\pi_{(V/x)^* \setminus 0})^N \in I_x$
- (2) For all  $x \neq y$ ,  $(\pi_{(V/x)^* \setminus (V/y)^*})^N \cdot I_y \subseteq I_x \cdot \mathbb{F}_2[V^*]$ .

Then the quotient group

$$\left( \bigcap_{x \in V \setminus 0} (I_x \cdot \mathbb{F}_2[V^*]) \right) / \left\langle (\pi_{V^* \setminus (V/x)^*})^N \cdot I_x \cdot \mathbb{F}_2[V^*] \mid x \in V \setminus 0 \right\rangle$$

vanishes in degrees greater than  $(2^k - 1)N - k$ .

In order to simplify the notation, Proposition 3 will be proven in the following dualized version. For convenience in notation, when  $V \cong (\mathbb{Z}/2)^k$  is given, then for any  $i \leq k$ ,  $\mathcal{S}_i$  will denote the set of subspaces of  $V$  of rank  $i$ .

**Proposition 3'.** Fix  $V \cong (\mathbb{Z}/2)^k$  ( $k \geq 2$ ). Assume, for some fixed  $N \geq 1$ , that ideals  $I_E \subseteq \mathbb{F}_2[E]$  are given, for all  $E \in \mathcal{S}_{k-1}$ , such that

- (1)  $(\pi_{E \setminus 0})^N \in I_E$  for each  $E$ , and
- (2) For any pair  $E_1, E_2 \in \mathcal{S}_{k-1}$ ,  $(\pi_{E_1 \setminus E_2})^N \cdot I_{E_2} \subseteq I_{E_1} \cdot \mathbb{F}_2[V^*]$ .

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Then the quotient group

$$\left( \bigcap_{E \in \mathcal{S}_{k-1}} (I_E \cdot \mathbb{F}_2[V^*]) \right) / \left\langle (\pi_{V \setminus E})^N \cdot I_E \cdot \mathbb{F}_2[V^*] \mid E \in \mathcal{S}_{k-1} \right\rangle$$

vanishes in degrees greater than  $(2^k - 1)N - k$ .

*Proof.* Assume first that  $k = 2$ , and set  $V = \langle x, y \rangle$ . Then

$$I_{\langle x \rangle} = x^a \cdot \mathbb{F}_2[x], I_{\langle y \rangle} = y^b \cdot \mathbb{F}_2[x] \quad \text{and} \quad I_{\langle x+y \rangle} = (x+y)^c \cdot \mathbb{F}_2[x]$$

for some  $a, b, c \geq 0$ . The quotient group

$$\left( x^a y^b (x+y)^c \mathbb{F}_2[x, y] \right) / \left\langle x^N y^N (x+y)^c, x^N y^b (x+y)^N, x^a y^N (x+y)^N \right\rangle$$

has Poincaré series

$$\frac{1}{(1-t)^2} (t^{a+b+c} - t^{2N+a} - t^{2N+b} - t^{2N+c} + 2t^{3N}),$$

which is a polynomial of degree  $3N-2$ . So the proposition holds in this case.

Now assume  $k > 2$ , and assume the proposition holds for rank  $k-1$ . For any  $m : V \setminus 0 \rightarrow \{0, \dots, N\}$ , we say that the system  $\{I_E\}$  is *subordinate to  $m$*  if  $I_E \subseteq \prod_{x \in E \setminus 0} x^{m(x)} \cdot \mathbb{F}_2[E]$  for all  $E \in \mathcal{S}_{k-1}$ . Clearly, any  $\{I_E\}$  is subordinate to the zero function. And if  $\{I_E\}$  is subordinate to the constant function  $N$ , then  $I_E = (\pi_{E \setminus 0})^N \cdot \mathbb{F}_2[E]$  for all  $E$ , and the quotient is trivial.

It thus suffices to prove the following. Assume  $m$  is maximal among functions with the property that  $\{I_E\}$  is subordinate to  $m$ ; and assume that  $m$  is not the constant function  $N$  (otherwise, as noted above, we are done). Fix  $y$  such that  $m(y) < N$ , and define  $m' : V \setminus 0 \rightarrow \{0, \dots, N\}$  by setting  $m'(y) = m(y) + 1$ , and  $m'(x) = m(x)$  for  $x \neq y$ . Define ideals  $J_E$  by setting

$$J_E = I_E \cap \left( \prod_{x \in E \setminus 0} x^{m'(x)} \right) \cdot \mathbb{F}_2[E] \quad (\text{all } E \in \mathcal{S}_{k-1}.)$$

We claim that the proposition holds for  $\{I_E\}$  if it holds for  $\{J_E\}$ .

To see this, it suffices to show that the cokernel of the map

$$\varphi : \frac{\bigcap_{E \in \mathcal{S}_{k-1}} J_E \cdot \mathbb{F}_2[V]}{\langle (\pi_{V \setminus E})^N \cdot J_E \cdot \mathbb{F}_2[V] \rangle} \longrightarrow \frac{\bigcap_{E \in \mathcal{S}_{k-1}} I_E \cdot \mathbb{F}_2[V]}{\langle (\pi_{V \setminus E})^N \cdot I_E \cdot \mathbb{F}_2[V] \rangle}$$

vanishes in dimensions greater than  $(2^k - 1)N - k$ . Note first that  $I_E = J_E$  if  $y \notin E$ , and that  $y I_E \subseteq J_E \subseteq I_E$  if  $y \in E$ . Define a system  $\{\bar{I}_{E/y}\}$  of ideals over  $\mathbb{F}_2[V/y]$  by setting

$$\bar{I}_{E/y} = \text{Im} \left[ y^{-m(y)} I_E \rightarrow \mathbb{F}_2[E/y] \right]$$

for all  $E \in \mathcal{S}_{k-1}$  containing  $y$ . Then the  $\bar{I}_{E/y}$  satisfy the hypotheses of Proposition 3' (with  $N$  replaced by  $2N$ ), and

$$\text{Coker}(\varphi) \cong$$

$$\Sigma^{m(y)} \left( \left( \bigcap_{E/y \in \mathcal{S}_{k-2}(V/y)} \bar{I}_{E/y} \cdot \mathbb{F}_2[V/y] \right) / \left\langle (\pi_{V/y \setminus E/y})^{2N} \cdot \bar{I}_{E/y} \cdot \mathbb{F}_2[V/y] \right\rangle \right).$$

So by the induction hypothesis,  $\text{Coker}(\varphi)$  vanishes in dimensions greater than

$$m(y) + (2^{k-1} - 1) \cdot 2N - (k-1) \leq (2^k - 1)N - k; \quad (m(y) \leq N-1)$$

and this finishes the proof.  $\square$

Let  $\bar{\mathcal{A}}_2(V)$  denote the category of *all* subgroups of  $V$  (including the trivial subgroup).

**Proposition 4.** Fix  $V = (\mathbb{Z}/2)^k$  and  $N \geq 1$ . Let

$$F : \bar{\mathcal{A}}_2(V) \rightarrow (\text{ideals in } \mathbb{F}_2[V^*])$$

be a functor, which satisfies the following three conditions:

(1)  $F(V) = \mathbb{F}_2[V^*]$ .

(2) For all  $E \subseteq V$ ,  $F(E) = I_E \cdot \mathbb{F}_2[V^*]$  for some ideal  $I_E \subseteq \mathbb{F}_2[(V/E)^*]$ . (Regard  $\mathbb{F}_2[(V/E)^*]$  as a subring of  $\mathbb{F}_2[V^*]$ .)

(3) For all  $E \subsetneq E' \subseteq V$ ,

$$(\pi_{(V/E)^* \setminus (V/E')^*})^N \cdot F(E') \subseteq F(E) \quad (\subseteq F(E'))$$

Then  $\varprojlim^i(F)$  for  $i > 0$ , and  $\text{Coker}[F(1) \rightarrow \varprojlim^0(F)]$ , all vanish in degrees greater than  $(2^k - 1)N - k$ .

*Proof.* We say that  $F$  satisfies the *rank  $t$  intersection condition* if for any  $E \subseteq V$  with  $\text{rk}(E) \leq t$ ,

$$F(E) = \bigcap_{E' \supsetneq E} F(E').$$

By Proposition 3,

$$\text{Coker}[F(1) \rightarrow \varprojlim_{\mathcal{A}_2(V)} (F)] \cong \left( \bigcap_{E \in \mathcal{S}_1} F(E) \right) / F(1)$$

vanishes in degrees greater than  $(2^k - 1)N - k$ . So we can replace  $F(1)$  by  $\bigcap_{E \in \mathcal{S}_1} F(E)$ , and assume that the rank 0 intersection property holds.

Assume now that  $F$  satisfies the rank  $t - 1$  intersection property, but not the rank  $t$  property, for some  $1 \leq t \leq k$ . We may assume inductively that the proposition holds for functors which satisfy the rank  $t$  intersection property; and for functors on groups of lower rank. If  $t = k$ , then  $F$  is constant, and  $\varprojlim^i(F) = 0$  for all  $i > 0$ . So we may assume  $t \leq k - 1$ .

Fix  $W \subseteq V$  of rank  $t$  such that  $F(W) \subsetneq \bigcap_{E \supsetneq W} F(E)$ . Choose ideals

$$I_W = J_0 \subseteq J_1 \subseteq \dots \subseteq J_s = \bigcap_{E \supsetneq W} I_E \cdot \mathbb{F}_2[(V/W)^*],$$

such that for each  $i$ ,  $J_i/J_{i-1} \cong \Sigma^{m_i} \mathbb{F}_2$  for some  $m_i \geq 0$ . By Proposition 3 again,

$$m_i \leq (2^{k-t} - 1) \cdot N - (k - t)$$

for each  $i$ . Define functors  $F_0 = F \subseteq F_1 \subseteq \dots \subseteq F_s$  by inductively setting

$$F_i(E) = \begin{cases} F(E) & \text{if } E \not\subseteq W \\ J_i \cdot \mathbb{F}_2[V^*] & \text{if } E = W \\ \bigcap_{E' \supsetneq E} F_i(E') & \text{if } E \subsetneq W. \end{cases}$$

Then for each  $1 \leq i \leq s$ ,  $(F_i/F_{i-1})(W) \cong \Sigma^{m_i} \mathbb{F}_2[V^*]$ ; and as a functor on  $\mathcal{A}_2(W)$   $F_i/F_{i-1}$  satisfies the hypotheses of Proposition 3 (but with  $N$  replaced by  $2^{k-t}N$ ). So for  $j \geq 1$ , the limit  $\varprojlim_{\mathcal{A}_2(W)} (F_i/F_{i-1})$  vanishes in degrees above

$$m_i + (2^t - 1) \cdot 2^{k-t}N - t \leq (2^{k-t} - 1)N - k + t + (2^t - 1) \cdot 2^{k-t}N - t = (2^k - 1)N - k.$$

In particular, the higher limits of  $F_s/F$  vanish above degree  $(2^k - 1)N - k$ .

Continuing this procedure with the other rank  $t$  subgroups, we embed  $F \subseteq \bar{F}$  so that  $\bar{F}$  satisfies the rank  $t + 1$  intersection property, and so that the higher limits of  $\bar{F}/F$  vanish in degrees above  $(2^k - 1)N - k$ . Since the same holds for  $\bar{F}$  by the induction hypothesis, this finishes the proof of the proposition.  $\square$

*Proof of Theorem 1.* Recall first that for  $i \geq r = \text{rk}(V)$ ,  $\varprojlim^i(F) = 0$  for any functor on  $\mathcal{A}_2(V)$ .

The theorem clearly holds if the action of  $V$  fixes  $X$ , or if  $X$  is the union of  $X^V$  and a finite  $V$ -set. So we may consider the case where  $X = Y \cup_{\varphi} (V/W \times D^m)$ , with  $\text{rk}(W) = k < r$  and  $0 < m \leq n$ , and where the theorem holds for  $Y$ .

Consider the following short exact sequences of functors on  $\mathcal{A}_2(V)$ :

$$0 \rightarrow K \rightarrow F_X \rightarrow F_{\text{Im}} \rightarrow 0, \quad 0 \rightarrow F_{\text{Im}} \rightarrow F_Y \rightarrow C \rightarrow 0, \quad (1)$$

and

$$0 \rightarrow \Sigma C \rightarrow F_{X,Y} \rightarrow K \rightarrow 0. \quad (2)$$

Here,  $K$ ,  $F_{\text{Im}}$ , and  $C$  are the kernel, image, and cokernel, respectively, of the restriction map from  $F_X \rightarrow F_Y$ . The relative functor  $F_{X,Y}$  has the form

$$F_{X,Y}(E) = H_V^*(X^E, Y^E) \cong \begin{cases} 0 & \text{if } E \not\subseteq W \\ H_V^*(X^W, Y^W) \cong \Sigma^m \mathbb{F}_2[W^*] & \text{if } E \subseteq W, \end{cases}$$

and thus can be regarded as a constant functor on  $\mathcal{A}_2(W)$ . Note also that

$$C(E) \cong \begin{cases} \text{Im}[H_V^*(Y^E) \rightarrow H_V^{*+1}(X^E, Y^E)] & \text{if } E \subseteq W \\ 0 & \text{if } E \not\subseteq W; \end{cases}$$

and that  $C$  and  $K$  can also be regarded as functors on  $\mathcal{A}_2(W)$ . In addition,

$$\begin{aligned} C(W) &\cong \text{Im}[H_V^*(Y^W) \rightarrow H_V^{*+1}(X^W, Y^W)] \\ &\cong \text{Im}[H_{V/W}^*(Y^W) \rightarrow H_{V/W}^{*+1}(X^W, Y^W) \cong \Sigma^m \mathbb{F}_2] \otimes \mathbb{F}_2[W^*]; \end{aligned}$$

so that either  $C(W) \cong \Sigma^m \mathbb{F}_2[W^*]$ , or  $C = 0$  (as a constant functor). In the second case the result is clear, since  $\varprojlim^i(F_{X,Y}) = 0$  for  $i > 0$  ( $F_{X,Y}$  being a constant functor).

Now, for any  $E \subseteq W$ , set

$$I_E = \text{Im}[H_{V/E}^*(Y^E) \rightarrow H_{V/E}^{*+1}(X^W, Y^W) \cong \Sigma^m \mathbb{F}_2[(V/E)^*]];$$

regarded as an ideal in  $\mathbb{F}_2[(V/E)^*]$ . Then  $C(E) = I_E \cdot \mathbb{F}_2[W^*]$ . Also, for all  $E \subsetneq E' \subseteq W$ ,

$$(\pi_{(W/E)^* \setminus (W/E')^*})^{n \cdot 2^{r-1}} C(E') \subseteq C(E)$$

by Lemma 2. Hence, by Proposition 4,

$$\varprojlim^i(C^*) \quad (1 \leq i < r) \quad \text{and} \quad \text{Coker}[H_V^*(Y) \rightarrow \varprojlim^0(F_Y^*) \rightarrow \varprojlim^0(C^*)]$$

vanish in degrees greater than

$$(m-1) + (2^k - 1)(n \cdot 2^{r-k}) - k = (2^r - 2^{r-k} + 1)n - (k+1) - (n-m) \leq (2^r - 1)n - r.$$

It follows that  $\text{Ker}[\varprojlim^i(F_{\text{Im}}) \rightarrow \varprojlim^i(F_Y)]$ , for  $1 \leq i < r$ , also vanishes in degrees greater than  $(2^r - 1)n - r$ .

Finally, since  $\varprojlim^i(F_{X,Y}) = 0$  for  $i > 0$ , we see that  $\varprojlim^{k-1}(K) = 0$ ; and that  $\varprojlim^i(K)$  for  $1 \leq i \leq k-2$  ( $\leq r-3$ ) vanishes in degrees greater than  $(2^r - 1)n - r + 1$ .  $\square$