

# HAVING THE H-SPACE STRUCTURE IS NOT A GENERIC PROPERTY

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ABSTRACT. In this note, we answer in negative a question posed by McGibbon[7] about the generic property of H-space structure. In fact we verify the conjecture of Roitberg [12]. Incidentally, the same example also answers in negative the open problem 10 in McGibbon[7]

## 1. INTRODUCTION

Let  $X$  be a connected CW complex, the L-S category of  $X$ ,  $cat(X)$ , of  $X$  is the least integer  $k \geq 0$  such that  $X$  can be covered by  $k + 1$  open subsets which are contractible in  $X$ . Of course the condition that  $X$  is a CW complex is unnecessary for the above definition to have a meaning. However it is in this context that a rich theory of category exists. Recent works in rational homotopy theory gave rise to a theory of rational L-S category. This makes it possible to calculate the rational L-S category and thus attack the rational Ganea Conjecture[1]. On the other hand works by N.Iwase, H.Scheerer, and D.Stanley provided the method to determine the L-S category itself in some case which lead to the construction of counterexamples to the Ganea Conjecture, see,

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e.g., [14]. Besides the application to the Ganea Conjecture , another interesting application of these ideas was given by Roitberg[12]. To explain this we have to state one problem posed by McGibbon[7]:

**Question 1.1.** *If  $X$  and  $Y$  have the same Mislin genus ,i.e.  $X_{(p)} \simeq Y_{(p)}$  for all  $p$  where  $X_{(p)}$  is the  $p$ -localization of  $X$ , does it follow that  $cat(X) = cat(Y)$ ?*

In his paper[12], applying some results about category by Iwase[4] and results about phantom maps , Roitberg was able to answer the above question negatively. His main result can be stated as follows

**Theorem 1.2.** *Let  $\phi : \Sigma K(\mathbb{Z}, 5) \rightarrow S^4$  be an essential, special, phantom map and  $X$  be the mapping cone of  $\phi$  .Then  $cat(X) = 2$ .*

*Remark 1.3.* It is well known that  $cat(X) = 1$  iff  $X$  is a co-H-space . It follows from the above theorem that  $X$  is not a co-H-space . On the other hand it easy to know that  $S^4 \vee \Sigma^2 K(\mathbb{Z}, 5)$  has the same Mislin genus with  $X$  and is a co-H-space .

From this point of view , the theorem above answers in negative the following

**Question 1.4.** *If  $X$  and  $Y$  have the same Mislin genus and  $X$  is co-H-space , does it follow that  $Y$  is also a co-H-space?*

Question1.1 has an obvious Eckmann-Hilton dual . However it may not be a good question at present time since the dual L-S category is not well developed. A manageable problem is the obvious dual of Question1.4 which has been posed by McGibbon in [7]. A more precise conjecture was given by Roitberg in his paper[12]. The purpose of this paper is to establish Roitberg's conjecture and thus also answers in negative McGibbon's problem.

**Theorem 1.5.** *Let  $\psi : K(\mathbb{Z}, 2) \rightarrow \Omega S^6$  be an essential, special, phantom map and  $Z$  be the homotopy fiber of  $\psi$ . Then  $Z$  and  $K(\mathbb{Z}, 2) \times \Omega^2 S^6$  have the same Mislin genus and  $Z$  is not an H-space.*

Since the dual L-S category is not well developed and Iwase's paper [4] is unavailable to the author, method from the well developed theory of H-space will be used in stead. Another feature is the application of the newly developed Gray index of phantom map [5],[9]. Actually, by duality, our method also gives an alternative proof of the main results in [12].

Incidentally, the example constructed above combined with Theorem 3.4 in [3] also provides a negative answer to the open problem 10 in [7]: Is  $X$  an H-space if each of its Postnikov approximations  $X^{(n)}$  is? Actually we have the following

**Theorem 1.6.** *Let  $\psi : K(\mathbb{Z}, 2) \rightarrow \Omega S^6$  be an essential, special, phantom map and  $Z$  be the homotopy fiber of  $\psi$ . Then  $Z^{(n)}$  is an H-space for each  $n$  but  $Z$  is not.*

*Proof.* It follows immediately from Theorem 3.4 in [3] that  $Z^{(n)}$  and  $(K(\mathbb{Z}, 2) \times \Omega^2 S^6)^{(n)}$  have the same homotopy type and thus  $Z^{(n)}$  is an H-space for each  $n$ . □

In this paper all spaces involved are assumed to be 1-connected CW complexes with finite type.

The author would like to thank Prof. Roitberg for his interest in this work and for pointing out a fatal error in the earlier version of this paper. It is to correct that error that we find the application of Gray index to this work.

## 2. BACKGROUND ABOUT H-SPACES AND PHANTOM MAPS

First we will recall some backgrounds about H-spaces, see [17] for details. An H-space is a space  $X$  with a map  $\mu : X \times X \rightarrow X$  such that  $\mu|_{X \vee X} = F$  where  $F : X \vee X \rightarrow X$  is the natural folding map. An H-map between H-spaces is a map of spaces  $f : X \rightarrow Y$  such that the following diagram commutes up to homotopy.

$$\begin{array}{ccc} X \times X & \xrightarrow{f \times f} & Y \times Y \\ \mu_X \downarrow & & \mu_Y \downarrow \\ X & \xrightarrow{f} & Y \end{array}$$

In this case we say that  $f$  is a  $\mu_X - \mu_Y$  H-map. Two elementary but important results are the followings

**Proposition 2.1.** *Let  $(X, \mu_X)$  be an H-space. Then, for any space  $M$ ,  $[M, X]$  is an algebraic loop, i.e., for any  $f, g \in [X, Y]$  there exists a unique  $D_{f,g} \in [M, X]$  such that*

$$\mu_*(D_{f,g}, g) = f$$

**Proposition 2.2.** *If  $f : (X, \mu_X) \rightarrow (Y, \mu_Y)$  is an H-map, then the homotopy fiber of  $f$  is an H-space.*

Thus it is important to know when is a map an H-map or what is the obstruction for a map to be an H-map.

**Definition 2.3.** Let  $(X, \mu)$  and  $(Y, \mu')$  be H-spaces and  $f : X \rightarrow Y$  be a map of spaces. H-derivation of  $f$  is the map

$$HD(f) \in [X \wedge X, Y]$$

which is defined by

$$HD(f)\Lambda = D_{f\mu, \mu'(f \times f)}$$

where  $\Lambda : X \times X \rightarrow X \wedge X$  is the natural quotient map.

*Remark 2.4.* The definition of H-derivation depends on the H-space structures on both  $X$  and  $Y$ .

*Remark 2.5.* Let  $(X, \mu)$  and  $(Y, \mu')$  be H-spaces and  $f : X \rightarrow Y$  be a map of spaces. It is well known that  $f : (X, \mu_X) \rightarrow (Y, \mu_Y)$  is an H-map iff  $HD(f) = *$ .

An easy but crucial corollary of this last remark is the following

**Corollary 2.6.** *Let  $(X, \mu)$  and  $(X', \mu')$  be H-spaces and  $f : X \rightarrow X'$  be a map of spaces. Assume further that  $\pi_i X' = 0$  for  $i \geq 2d$  and  $X$  is  $(d-1)$ -connected. Then  $f$  is a  $\mu - \mu'$  H-map.*

Following is one of the fundamental properties of H-derivation

**Proposition 2.7.** *Let  $(X_i, \mu_i)$  be H-spaces,  $i = 0, 1, 2$  and  $f : X_0 \rightarrow X_1$ ,  $g : X_1 \rightarrow X_2$  be maps of spaces.*

- (a) *If  $f : X_0 \rightarrow X_1$  is a  $\mu_0 - \mu_1$  H-map, then  $HD(gf) = HD(g)(f \wedge f)$*   
 (b) *If  $g : X_1 \rightarrow X_2$  is a  $\mu_1 - \mu_2$  H-map, then  $HD(gf) = gHD(f)$*

Another ingredient for the main result is the phantom map. Recall that a map  $f$  from a CW complex  $X$  is called an phantom map if its restriction to the  $n$ -th skeleton is inessential for any integer  $n$ . Let  $Ph(X, Y)$  denote the set of homotopy classes of phantom maps from  $X$  to  $Y$ . The following result which follows from the Sullivan conjecture provides us many examples of phantom maps.

**Theorem 2.8.** [8] *Let  $Y = \Omega^i K$  and  $X = \Sigma^j Z$  such that  $i, j \geq 0$ ,  $K$  is a 1-connected finite CW complex. Then every map from  $X$  to  $Y$  is a phantom map if  $Z$  is as follows:*

- $Z$  is the classifying space of a 1-connected compact Lie group
- $Z$  is an infinite loop space with torsion fundamental group
- $Z$  has only finitely number of nontrivial homotopy groups

and in this case we have

$$Ph(X, Y) = [X, Y] = [X_{(0)}, Y] = \prod_{n>0} H^n(X, \pi_{n+1}(Y) \otimes R)$$

Let  $Ph(X, Y)$  denote the set of homotopy classes of phantom maps from  $X$  to  $Y$ . The  $p$ -localization  $l_p$  induces a natural map

$$l_p^* : Ph(X, Y) \rightarrow Ph(X, Y_{(p)})$$

It follows that there is a natural map

$$l : Ph(X, Y) \rightarrow \prod_p Ph(X, Y_{(p)})$$

It is well known that  $l$  is an epimorphism [15] and  $Ker(l)$  is nontrivial iff  $Ph(X, Y)$  is nontrivial[7], see also [3],[11]. The phantom map in  $Ker(l)$  is called special, following Roitberg[12], see also,[6] where it is called the clone of constant map.

On the other hand, Gray, Le Minh Ha, McGibbon and Strom [2], [5],[9] introduced the notion of Gray index which is defined as follows:

**Definition 2.9.** Let  $f : X \rightarrow Y$  be a phantom map. Then  $f$  can be factorized as the composition  $X \rightarrow X/X_k \xrightarrow{\bar{f}} Y$  for each  $k$ . The Gray index of  $f$ , denoted by  $G(f)$ , is the largest integer  $k$  such that the  $\bar{f}$  can be chosen to be a phantom map.  $G(f) = \infty$  if no such  $k$  exists.

*Remark 2.10.* Let  $f : X \rightarrow Y$  be a phantom map. Then  $f$  can be lifted to the  $k$ -th connected covering for each  $k$  and  $G(f) + 1$  is the largest integer  $k$  such that the  $k$ th lifting can be chosen to be a phantom map.

A useful fact we need is

**Proposition 2.11.** *Let  $f : X \rightarrow Y$  be a phantom map. Then*

(i)  $G(f) \geq n$  if  $X$  is  $n$ -connected or  $Y$  is  $n + 1$ -connected.

(ii)  $G(f) \in \{k | H^n(X, \pi_{n+1}(Y) \otimes Q) \neq 0\}$  if  $H^n(X, \pi_{n+1}(Y) \otimes Q) = 0$  for  $n$  sufficiently large.

For the proof of the Proposition above, see [5] and [9].

An immediate corollary of the above Proposition which is crucial to our purpose is

**Corollary 2.12.** *Let  $f : K(Z, 2m) \wedge K(Z, 2m) \rightarrow \Omega^1 S^{4m+2}$  be any essential map. Then  $G(f) = 4m$  where  $m \geq 1$ .*

Now we are ready to prove the main result.

### 3. PROOF OF THEOREM1.5

First is a preliminary lemma needed later.

**Lemma 3.1.** *Let  $X$  be an H-space which is  $(e - 1)$ -connected and  $\pi_i(X) = 0$  for  $i \geq 2e$  and  $Y = \Omega^j K$  where  $K$  is a  $(d + j - 1)$ -connected finite CW-complex with  $j \geq 1$  and  $d > e \geq 2$ . Let  $\psi : X \rightarrow Y$  be any essential map. Then  $Z(=$ homotopy fiber of  $\psi)$  is not an H-space if  $HD(\psi) \circ (i \wedge i)$  is essential where  $i : Z \rightarrow X$  is the homotopy fiber of  $\psi$ .*

*Proof.* If  $Z$  is an H-space, then  $* = \psi \circ i : Z \rightarrow Y$  is an H-map and  $HD(*) = 0$ . Since  $i$  is an H-map by Corollary2.6, it follows by Proposition2.7 that

$$* = HD(*) = HD(\psi \circ i) = HD(\psi) \circ (i \wedge i)$$

which is in contradiction to the condition. □

*Remark 3.2.* To apply the above lemma it suffices to discuss when  $\psi$  is not an H-map and when  $i \wedge i$  induces an injective.

**Theorem 3.3.** *Let  $X = K(\mathbb{Z}, 2m)$  and  $Y = \Omega^1 S^{4m+2}$  with  $m \geq 1$ . Then there is no essential H-map from  $X$  to  $Y$ .*

*Proof.* By Proposition 2.8, the rationalization  $r : X \rightarrow X_{(0)}$  which is an H-map induces an isomorphism of groups

$$r^* : [X_{(0)}, Y] \rightarrow [X, Y]$$

It follows from Proposition 2.7 that it suffices to prove that there is no essential H-map from  $X_{(0)}$  to  $Y$ .

On the other hand the map  $h : S^{4m+2} \rightarrow K(\mathbb{Z}, 4m+2)$  which represents a generator of  $H^{4m+2}(S^{4m+2}; \mathbb{Z}) \cong \mathbb{Z}$  induces an isomorphism of groups

$$(\Omega^3 h)_* : [X_{(0)}, Y] \rightarrow [X_{(0)}, K(\mathbb{Z}, 4m+1)]$$

Again the Proposition 2.7 implies that it suffices to prove that there is no essential H-map from  $X_{(0)}$  to  $K(\mathbb{Z}, 4m+1)$  which is well known to be equivalent to the injectivity of the following homomorphism

$$\theta^* : H^{4m+2}(\Sigma X_{(0)}; \mathbb{Z}) \rightarrow H^{4m+2}(\Sigma X_{(0)} \wedge X_{(0)}; \mathbb{Z})$$

where  $\theta$  is defined as follows:

Let  $X * X = X \times I \times X / \{(x, 0, y) \sim (x, 0, y'), (x, 1, y) \sim (x', 1, y)\}$  be the join. There is a well defined map

$$k : X * X \rightarrow \Sigma X \wedge X$$

by  $k[x, t, y] = (x, y, t)$ . It is well known that  $X * X$  is homotopy equivalent to  $\Sigma X \wedge X$ . If  $X$  is an H-space with multiplication  $\mu$ , then  $\theta$  is the composite map

$$\Sigma X \wedge X \simeq X * X \xrightarrow{k} \Sigma(X \times X) \rightarrow \Sigma X$$

where the last map is the map  $-\Sigma\pi_1 + \Sigma\mu - \Sigma\pi_2$  and  $\pi_1, \pi_2$  are the projection of  $X \times X$  to the first and second factors respectively.



Consider the following commutative diagram where the horizontal maps which are isomorphisms come from the universal coefficient Theorem

$$\begin{array}{ccc}
 H^{4m+2}(\Sigma X_{(0)}; \mathbb{Z}) & \longrightarrow & \text{Ext}(H_{4m+1}(\Sigma X_{(0)}; \mathbb{Z}), \mathbb{Z}) \\
 \theta_* \downarrow & & \text{Ext}(\theta_*, \mathbb{Z}) \downarrow \\
 H^{4m+2}(\Sigma X_{(0)} \wedge X_{(0)}; \mathbb{Z}) & \longrightarrow & \text{Ext}(H_{4m+1}(\Sigma X_{(0)} \wedge X_{(0)}; \mathbb{Z}), \mathbb{Z})
 \end{array}$$

It follows that it suffices to prove that the map

$$\theta_* : H_{4m+1}(\Sigma X_{(0)} \wedge X_{(0)}; \mathbb{Z}) \rightarrow H_{4m+1}(\Sigma X_{(0)}; \mathbb{Z})$$

or equivalently the map

$$\theta_* : H_{4m}(X \wedge X; \mathbb{Q}) \rightarrow H_{4m}(X; \mathbb{Q})$$

is injective . On the other hand, it is well known that the map  $\theta_*$  is dual to the reduced coproduct which is an isomorphism in this case and thus completes the proof.  $\square$

The Theorem1.5 is actually a corollary of the following more general Theorem

**Theorem 3.4.** *Let  $X = K(\mathbb{Z}, 2m)$  and  $Y = \Omega S^{4m+2}$  with  $m \geq 1$ . Let  $\psi : X \rightarrow Y$  be any essential, special, phantom map. Then  $Z$  (=homotopy fiber of  $\psi$ ) is not an H-space and has the same Mislin genus with  $X \times \Omega Y$ .*

*Proof.* That  $Z$  and  $X \times \Omega Y$  have the same Mislin genus follows from the condition that  $\psi : X \rightarrow Y$  is a special phantom map.

On the other hand Lemma3.1 and Theorem3.3 apply here. Thus the Theorem above follows from the following Proposition.  $\square$

**Proposition 3.5.** *Let  $X = K(\mathbb{Z}, 2m)$  and  $Y = \Omega S^{4m+2}$  with  $m \geq 1$ . Let  $\psi : X \rightarrow Y$  be any essential, special, phantom map which exists by*

*Proposition 2.8 and the remark after it. Then  $(i \wedge i)^* : [X \wedge X, Y] \rightarrow [Z \wedge Z, Y]$  is injective where  $i : Z \rightarrow X$  is the homotopy fiber of  $\psi$ .*

*Proof.* Let  $f : X \wedge X \rightarrow Y$  be any essential map. If  $f \circ (i \wedge i) \sim *$  we will prove that this leads to a contradiction which concludes the proof. Since  $f$  is a phantom map,  $f \circ (i \wedge i) \sim *$  is also a phantom map. Thus we have the following commutative diagram up to homotopy.

$$\begin{array}{ccccc} Z \wedge Z & \xrightarrow{i \wedge i} & X \wedge X & \xrightarrow{f} & Y \\ \downarrow & & \downarrow & & \text{id} \downarrow \\ Z_{(0)} \wedge Z_{(0)} & \xrightarrow{i_{(0)} \wedge i_{(0)}} & X_{(0)} \wedge X_{(0)} & \xrightarrow{\tilde{f}} & Y \end{array}$$

If  $f \circ (i \wedge i) \sim *$ , then  $\tilde{f} \circ (i_{(0)} \wedge i_{(0)})$  is the composite  $Z_{(0)} \wedge Z_{(0)} \rightarrow \Sigma Z_\tau \wedge Z_\tau \xrightarrow{h} Y$  where  $Z_\tau$  is the homotopy fiber of the rationalization  $X \rightarrow X_{(0)}$ . On the other hand we claim that

**Claim 3.6.** *Any map  $h : \Sigma Z_\tau \wedge Z_\tau \rightarrow Y$  factors through a map  $\Sigma Z_\tau \wedge Z_\tau \rightarrow \Sigma F_\tau \wedge F_\tau$  where  $F = \Omega^2 S^{4m+2}$ .*

Assuming this, note that  $i_{(0)} \wedge i_{(0)}$  admits a right inverse, we have that  $f$  is the composite  $X \wedge X \rightarrow X_{(0)} \wedge X_{(0)} \rightarrow \Sigma F_\tau \wedge F_\tau \rightarrow Y$ .

It is easy to know that  $\Sigma F_\tau$  is  $4m - 1$ -connected and thus  $\Sigma F_\tau \wedge F_\tau$  is  $8m - 2$ -connected. It follows that  $f$  is the composite  $X \wedge X \rightarrow X_{(0)} \wedge X_{(0)} \rightarrow Y \langle 8m - 2 \rangle \rightarrow Y$ . By Remark 2.10,  $G(f) \geq 8m - 3$  which contradicts Corollary 2.12.  $\square$

*Remark 3.7.* Roitberg has shown us how the use of Gray index can be avoided.

It remains to prove the Claim 3.6 which follows from the following

**Lemma 3.8.** *There is a map  $\Sigma g \wedge g : \Sigma Z_\tau \wedge Z_\tau \rightarrow \Sigma F_\tau \wedge F_\tau$  such that the following map is a weak homotopy equivalence*

$$(\Sigma g \wedge g)^* : \text{map}_*(\Sigma F_\tau \wedge F_\tau, Y) \rightarrow \text{map}_*(\Sigma Z_\tau \wedge Z_\tau, Y)$$

*Proof.* Roitberg and P. Touhey proved in [13] that , if  $X, Y$  have the same Mislin genus, then  $X_\tau \simeq Y_\tau$ . So we have a map  $g$  which is a composite

$$Z_\tau \simeq (X \times F)_\tau \xrightarrow{\pi_\tau} F_\tau$$

where  $\pi : X \times F \rightarrow F$  is the projection.

To prove  $\Sigma g \wedge g$  induces a homotopy equivalence it suffices to prove that  $\pi_\tau : (X \times F)_\tau \rightarrow (F)_\tau$  induces a homotopy equivalence

$$(\Sigma\pi_\tau \wedge \pi_\tau)^* : \text{map}_*(\Sigma F_\tau \wedge F_\tau, Y) \rightarrow \text{map}_*(\Sigma(X \times F)_\tau \wedge (X \times F)_\tau, Y)$$

which follows directly from the fact that  $\text{map}_*(X_\tau, Y)$  is weakly contractible and the fact

$$\text{map}_*(\Sigma X_\tau, Y) \simeq \text{map}_*(\Sigma X, \hat{Y})$$

which can be found in [16] , for a stronger result , see Pan and Woo [10]. □

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